



## **DNA MEDICINE TESTING FOR INHALED AND NASAL OLIGONUCLEOTIDE AND MRNA PRODUCTS .**

**ASHLEIGH WAKE**

UK and Switzerland Pharma Business Development Director

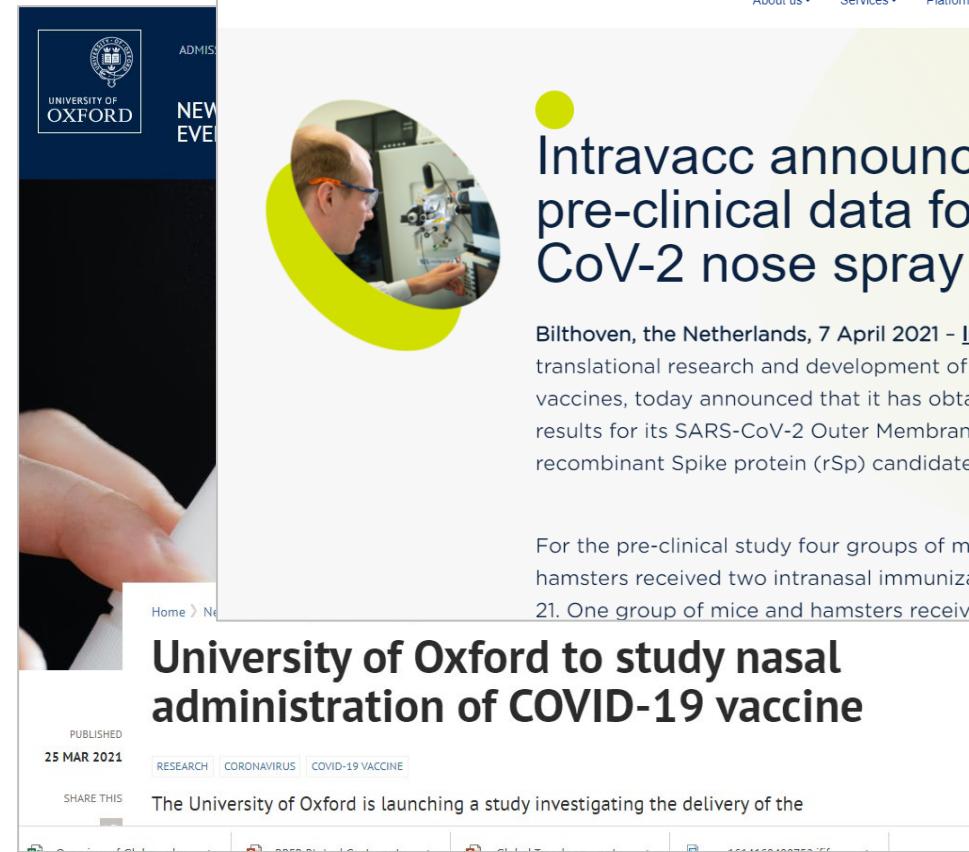
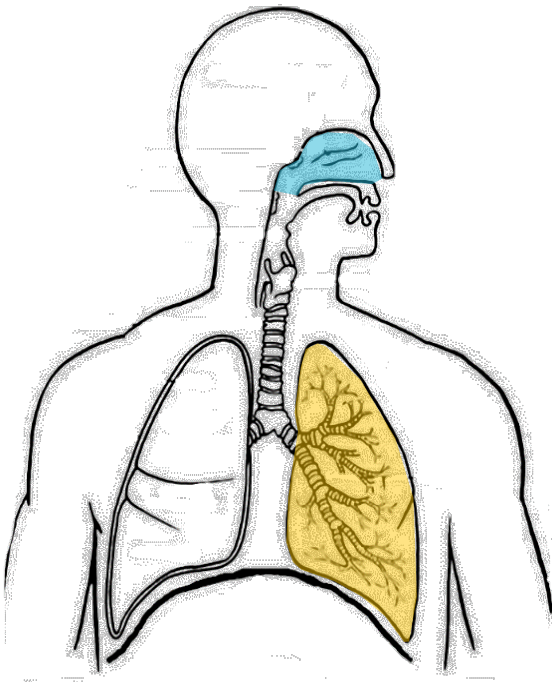


# WHY INHALED AND NASAL DELIVERY OF OLIGONUCLEOTIDES AND MRNA?



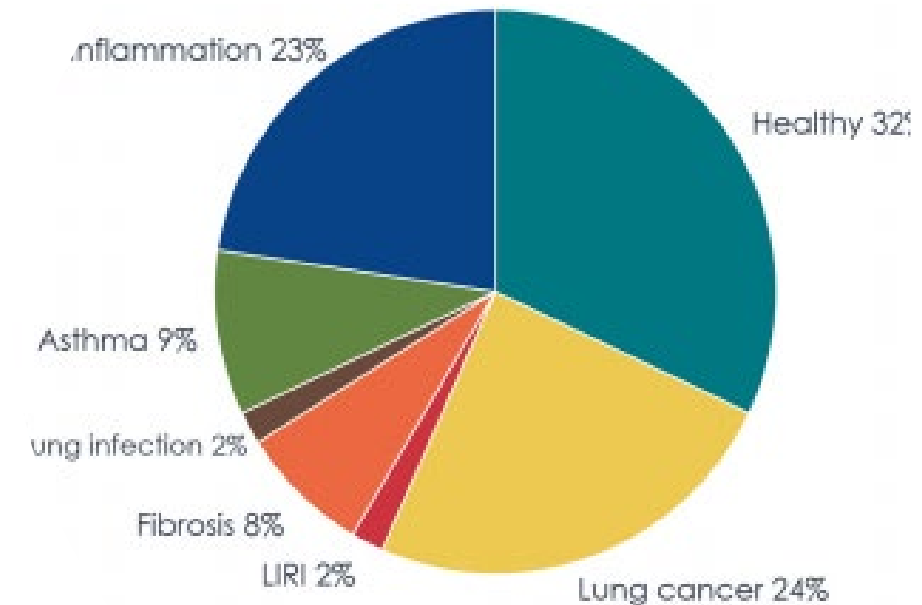
For several years the potential of oligonucleotide and DNA based medicines has been evident, given their diversity of application and potential therapeutic effect. Currently 14 marketed oligonucleotide products, majority for injection or infusion.

Biggest obstacle potentially remains in identifying safe and effective delivery channels.



# PULMONARY DISEASES

- The first siRNA candidate to reach the clinic was ALN-RSV01 in 2008 which was, designed to treat respiratory syncytial virus (RSV) infection.
- Since then, several clinical studies have been performed , although no product has been approved.
- Several studies which report the potential for RNA treating a range of lung diseases, such as asthma, cystic fibrosis and lung cancer.
- Through this aerosol inhalation has been shown to be an effective delivery route as it maximizes local delivery whilst controlling systemic exposure.



# AGENDA

1

Why Consider Inhaled or Nasal Delivery

2

DNA / RNA Intro

3

Development Process

4

Analytics.

5

Conclusions and Questions





# DNA/MRNA INTRODUCTION



# SO MANY NAMES!

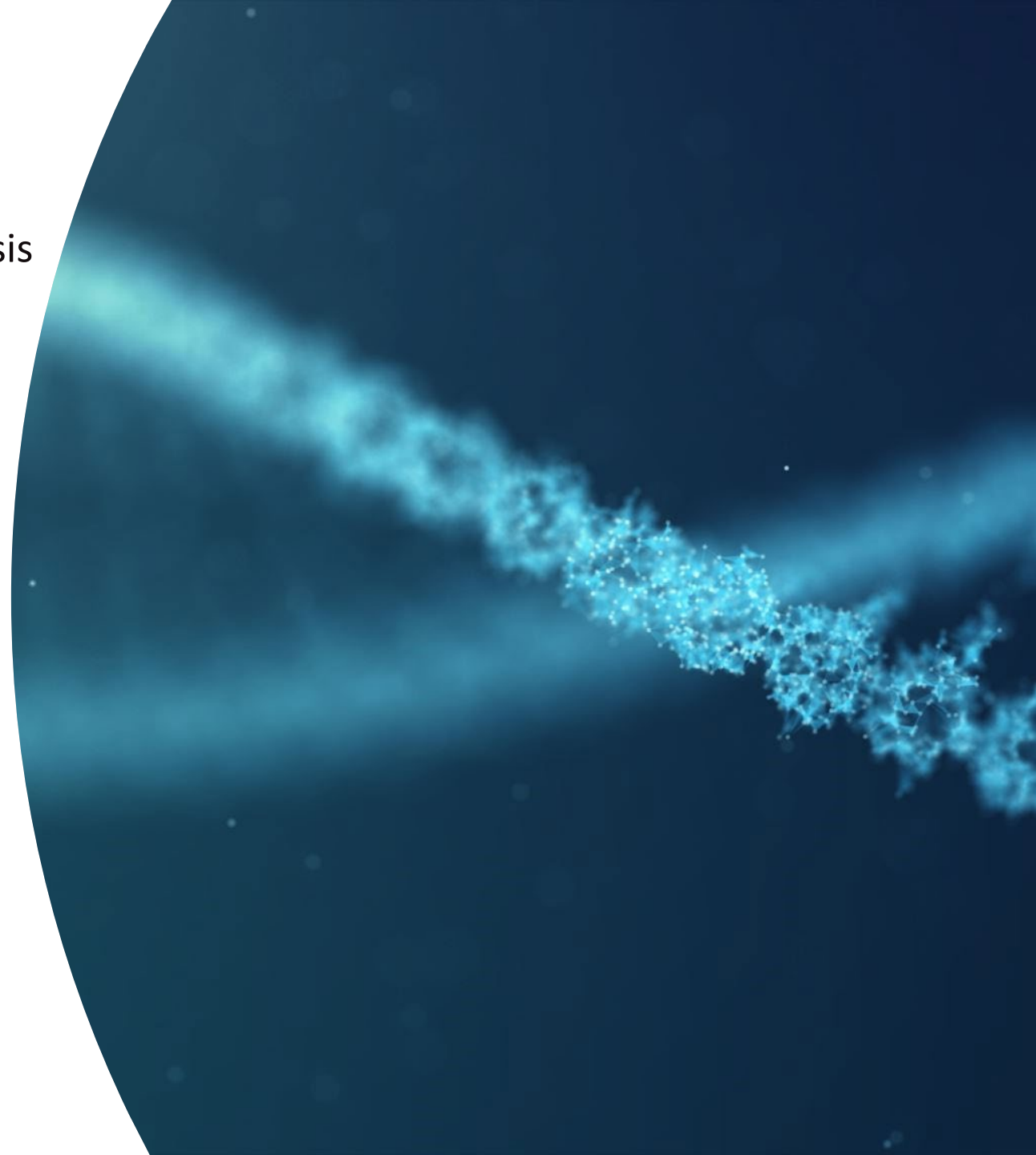
In simplest terms RNA is considered a messenger, in that it carries instructions from DNA to control synthesis of proteins.

RNA products can generally be divided into 3 classes:

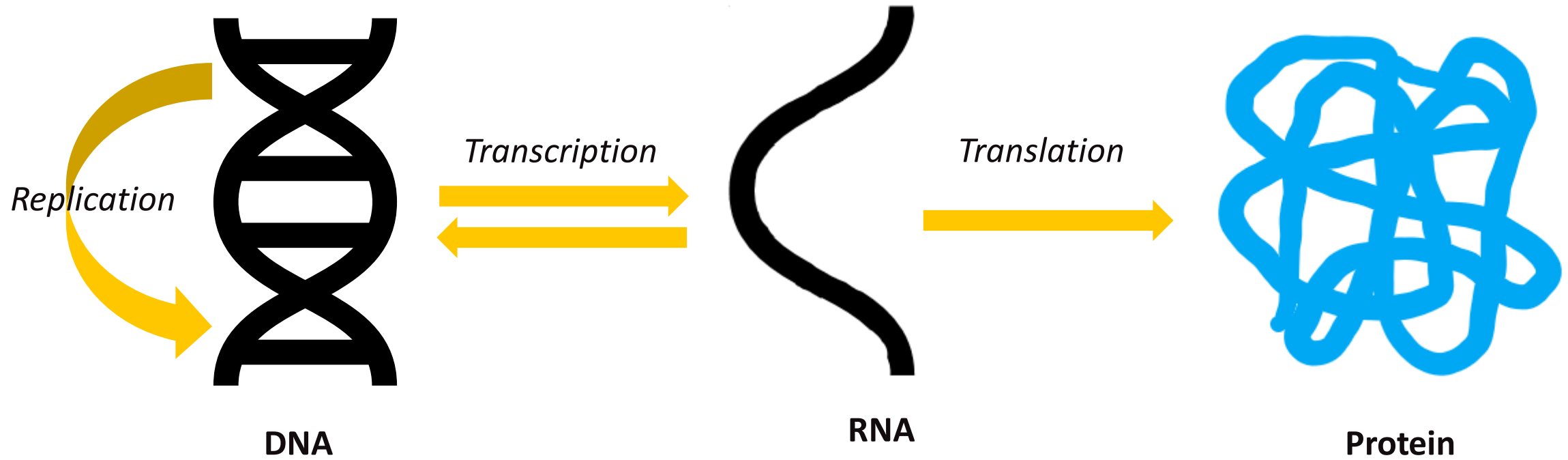
1: Protein Encoding - mRNA

2: Inhibition of Gene Expression - siRNA, antisense oligonucleotides, miRNA

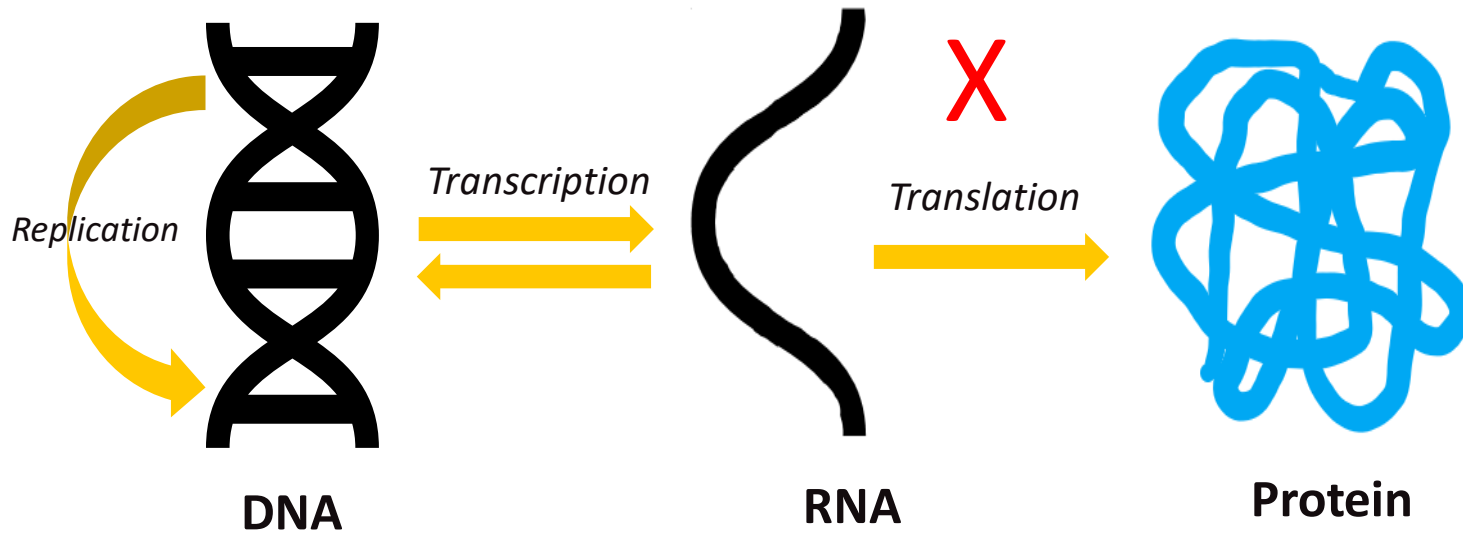
3: Protein Targeting – Aptamers



# BIOCHEMISTRY 101 - CENTRAL DOGMA



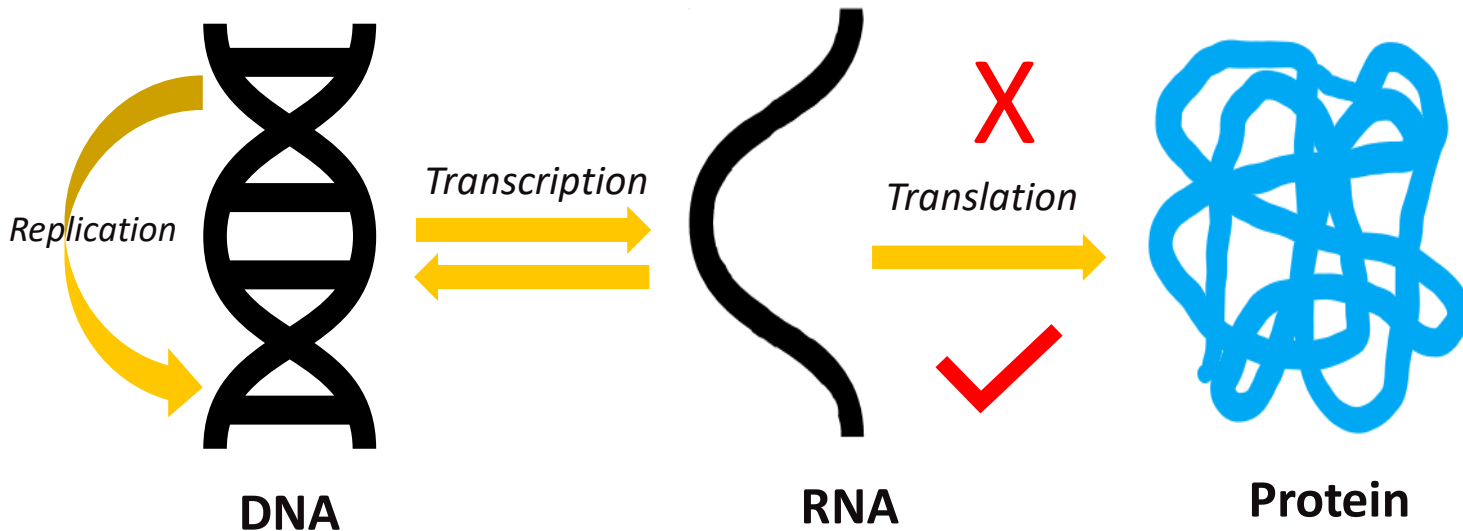
# ANTISENSE OLIGONUCLEOTIDES



- 8 ASO products currently marketed.  
1 additional withdrawn  
15 including siRNA and aptamers
- Approx 80 in Phase II/III trials with 102 different indications, ~2% via inhalation route.
- Short single stranded DNA, that bind to mRNA and modulate protein translation.
- 2 modes of actions, degradation of the RNA or inhibition/modulation of RNA.
- Modified chemistry give greater stability and affinity.



# MRNA



- Single stranded, complementary to one of DNA strands of a gene.
- Transfers a portion of code to form proteins.
- Only for of RNA that can produce new protein.
- Unlike viral vectors or plasmids they do not enter the genome, therefore reduced risk of mutagenesis.

# RNA :APPLICATIONS, ADVANTAGES AND DISADVANTAGES



## APPLICATIONS (mRNA)

VACCINES

TUMOUR IMMUNOTHERAPY

INFECTIOUS DISEASE PREVENTION

ANTI-VIRALS

TISSUE REGENERATION

AND MORE

## APPLICATIONS (ASOs)

GENETIC DISEASES

NEURODEGENATIVE DISEASE

INFLAMMETORY DISEASE

CANCERS

## ADVANTAGES

Array of Indications

Rapid and cost-effective production

Rapid effect.

## DISADVANTAGES

STABILITY - susceptible to nuclease attack.

LOW PERMEATION – over cellular membranes

IMMUNOGENICITY

# THE FIGHT FOR STABILITY AND REACHING THEIR TARGET

## Antisense Oligonucleotides

Chemistry – alterations to the chemistry of the oligo backbone, for example phosphorothioate which have longer half life and some resistance to nuclease attack.

Complex encapsulation

**mRNA**

End Capping

Complex encapsulation

*Achieving targeted Delivery and Stability are the major hurdles for RNA therapies.*

# WHY INHALED AND NASAL DELIVERY?

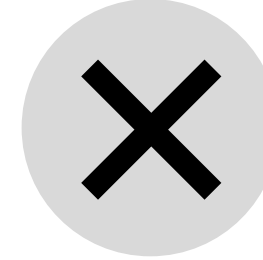


**Targeted Delivery**

**Systemic Delivery With Different PK Profiles**

**Improved Patient Experience**

**Future Pipeline**



**Additional Challenges In Controlling Dose**

**More Drug Is Required For Similar Systemic Delivery To Injection - Poor Absorption**

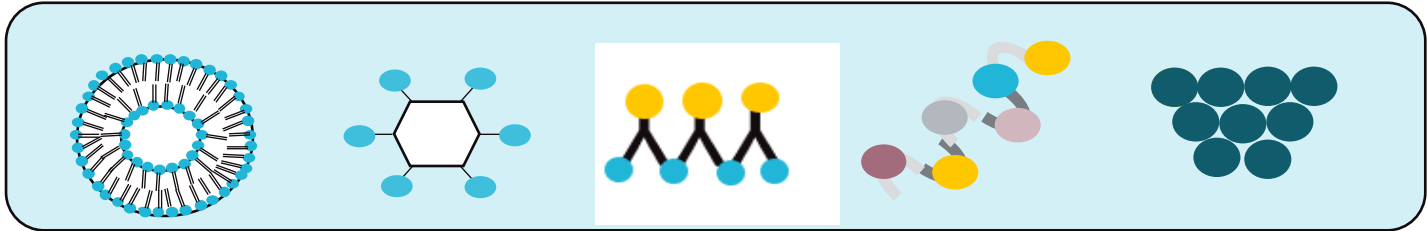
**Further Formulation And Device Considerations And Restrictions**


**Poor Cost / Benefit Profile For Some Indications**

# PRODUCT DEVELOPMENT





# DEVELOPMENT OF AN INHALED OLIGO OR MRNA



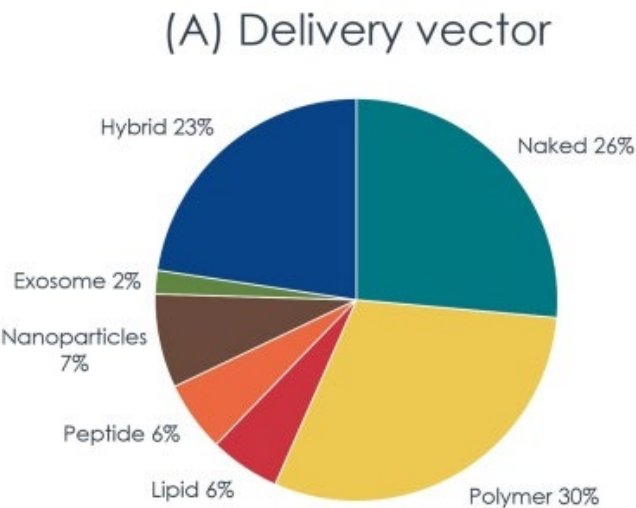


Formulation Developmentt  
Device Selection



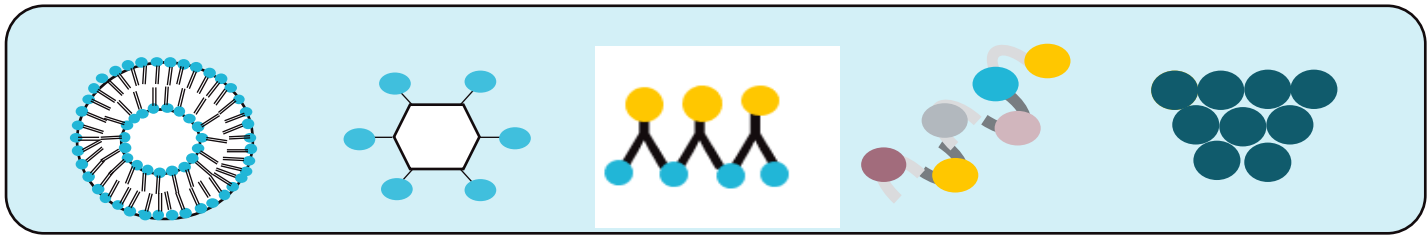
OLIGO/mRNA	Delivery System	Device Performance
------------	-----------------	--------------------


# DELIVERY VECTOR




Vector	Advantages	Disadvantages
Naked		Degradation by RNase
Polymer	<b>Natural:</b> Biocompatible/Safety Mucoadhesive/permeability.  <b>Synthetic:</b> Targeting Aqueous Solubility	<b>Natural:</b> Poor physiological solubility Low transfection efficiency  <b>Synthetic:</b> High Toxicity
Lipid	High Transfection Efficiency Toxicity	Poor Structural Stability
Exosome	High Biocompatibility Low toxicity/immunogenicity	
Peptide	Versatile Cell Entry Ability	Lack Cell Specificity Protease degradation
Nanoparticle	Ease of Synthesis Stability	No RNA-binding ability (functionalised on surface)
Hybrid	Designed to individually overcome disadvantages	

# DEVELOPMENT OF AN INHALED OLIGO OR MRNA





Formulation Developmentt  
Device Selection



OLIGO/mRNA	Delivery System	Device Performance
------------	-----------------	--------------------

# **ANALYTICS FOR CHARACTERISATION AND QC**



# ANTISENSE OLIGONUCLEOTIDES CHARACTERISATION



EUROPEAN MEDICINES AGENCY  
SCIENCE MEDICINES HEALTH

1 15 September 2022  
2 EMA/CHMP/QWP/735423/2022  
3 Committee for Medicinal Products for Human Use (CHMP)  
4 Committee for Veterinary Medicinal Products (CVMP)  
5

6 Concept Paper on the Establishment of a Guideline on the  
7 Development and Manufacture of Synthetic  
8 Oligonucleotides  
9

Agreed by Quality Working Party	29 June 2022
Adopted by CHMP for release for consultation	15 September 2022

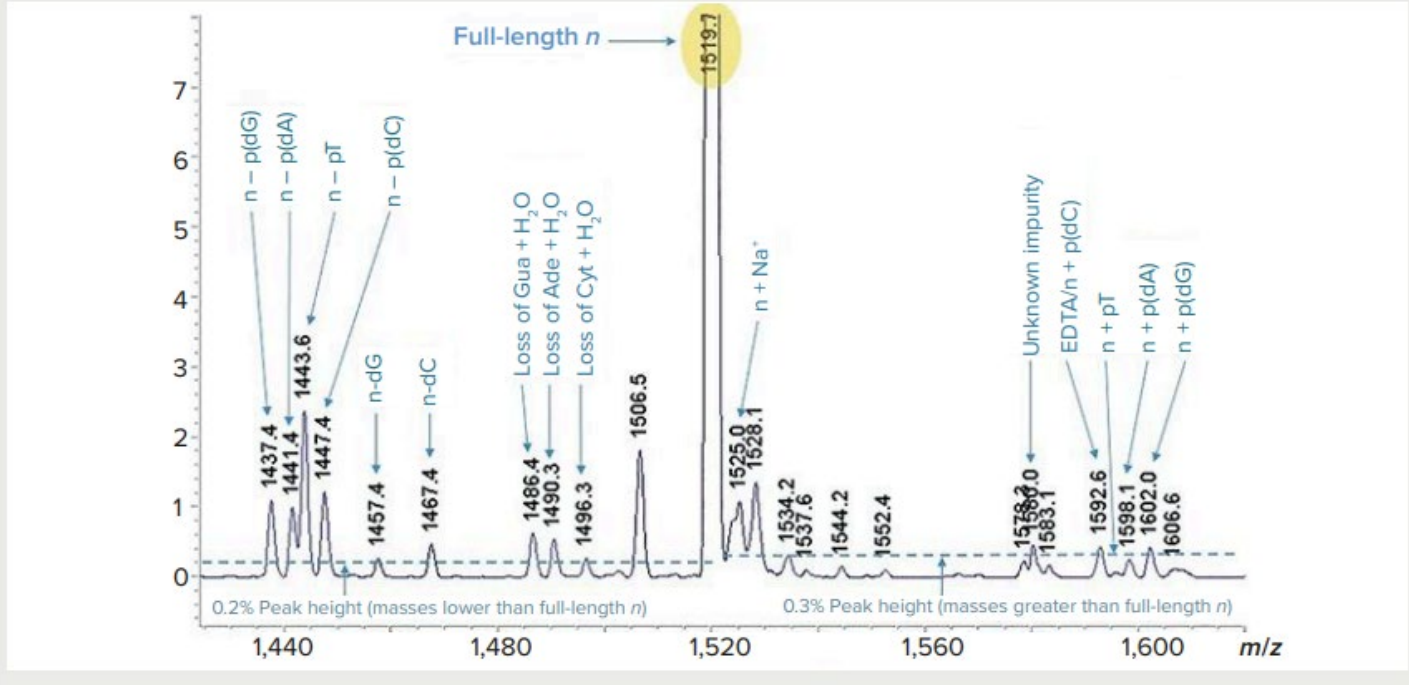
Quality Attribute	Methodology
Identification (by molecular weight)	Mass spectrometry (MS); size-exclusion chromatography (SEC)
Structural characterization	Fourier-transform infrared (FTIR), nuclear magnetic resonance (NMR), MS/MS for sequence confirmation
Assay and impurities	High-performance liquid chromatography (HPLC): ion pair (IP), reversed-phase (RP), and ion-exchange (IEX) with ultraviolet (UV) and MS detection
Internucleoside linkages	Phosphorus-31 ( $^{31}\text{P}$ ), NMR for assessment of phosphodiester, phosphorothioate, methylphosphonate, and other modified phosphates P=S/P=O ratio determined by combining $^{31}\text{P}$ NMR and SAX-HPLC
Aggregates	Size-exclusion chromatography (SEC)
Counter ions	Inductively coupled plasma (ICP)-MS or ICP-optical emission spectrometry (OES)
Chain length and distribution	Capillary gel electrophoresis (CGE)
Stereochemistry	Optical rotation and/or NMR
pH	pH Meter
Osmolality	Vapor pressure or freezing-point depression osmometry
Moisture content	Karl Fischer analysis
Hygroscopicity	Dynamic vapor sorption (DVS)



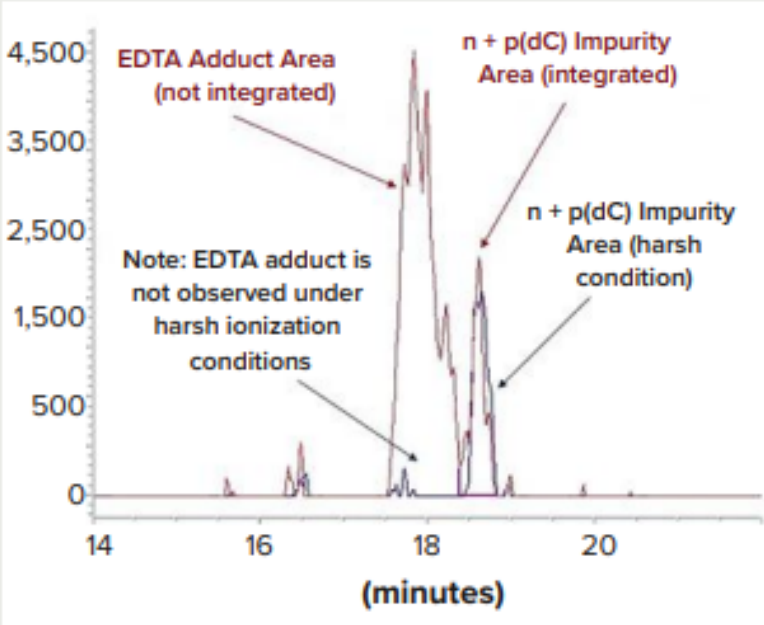
# ASO IMPURITIES



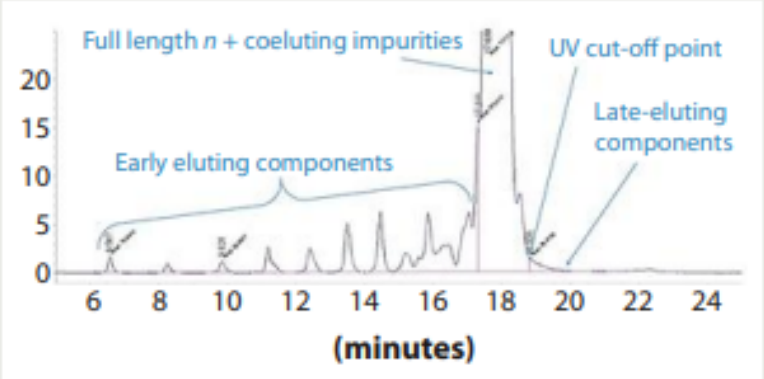
**Figure 6:** Negative-ion electrospray mass spectrum obtained using standard ionization conditions for the 20-mer DNA sample; all impurities are labeled for this case study using a table of known impurities.



**Figure 8:** EICs were obtained under harsh (blue trace) and standard (red trace) ionization conditions. The EDTA adduct dissociates under harsh ionization conditions, which aids peak assignment and integration of the  $n + p(dC)$  impurity.



**Figure 9:** Integrated UV chromatogram of the 20-mer DNA sample with UV cut-off applied showing presence of early and late-eluting components





# mRNA REGULATORY GUIDANCE

There are currently no dedicated regulatory guidance's, several in progress.

mRNA is considered a gene therapy product by the FDA

In the EU, mRNA based therapeutics have been classified as a Gene Therapy Medicinal Products

Seek scientific advice from the relevant local regulator.

Analytical method regulatory guidance

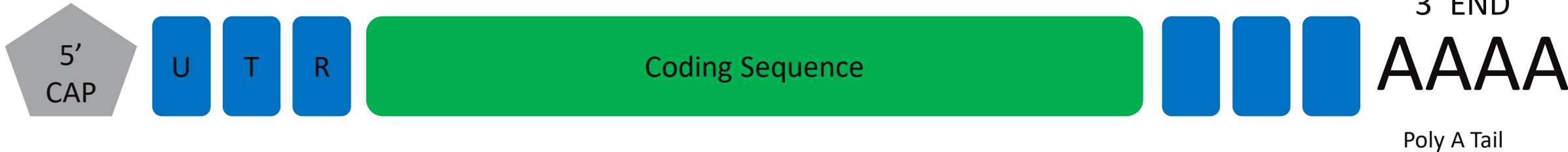
- Currently there is no specific ICH or FDA guidance for mRNA based therapeutics
- Some existing guidance can be applied;
  - 21 CFR, part 312.23 (a) (7) and 21 CFR, part 314.50 (d) (1); Chemistry, Manufacturing and Controls information
  - ICH Q7; cGMP
  - ICH Q2 (R1); validation
  - ICH Q3D; elemental impurities
  - ICH Q3C (R7); residual solvents
  - ICH Q6B; setting specifications
  - CMC Information for Human Gene Therapy INDs, FDA, 2018



Specification tests for batch release and stability study testing of mRNA drug substances and drug products

Compendial Methods	General Methods	mRNA Specific Methods
Appearance	Identification	Integrity
pH	Assay	Sequence
Osmolality	Content Uniformity	Potency
Particulate Matter	Residual Solvents	Capping efficiency
Container Closure Integrity	Elemental Impurities	Poly A Tail
Sterility		Residual dsRNA
Bacterial Endotoxins		Residual DNA Template

# CRITICAL QUALITY ATTRIBUTES – 1<sup>ST</sup> UNDERSTAND STRUCTURE.



Region	Function
5' CAP	The efficiency of capping and the cap structure effect protein production and immunogenicity.
UTR	Translational efficiency is influenced by their length
CODING SEQUENCE	Codon optimization can improve expression
3' Poly A Tail	Length is important for translation and protection of mRNA
Impurity Levels	Control of impurity level, promotes expression

# POTENTIAL IMPURITIES IN mRNA



Process Related Impurities	Product Related Impurities
DNA Template	Uncapped mRNA
Unreacted rNTPs	Short mRNA
Unreacted cap dinucleotide	dsRNA
Enzymes	



# mRNA INTEGRITY TESTING

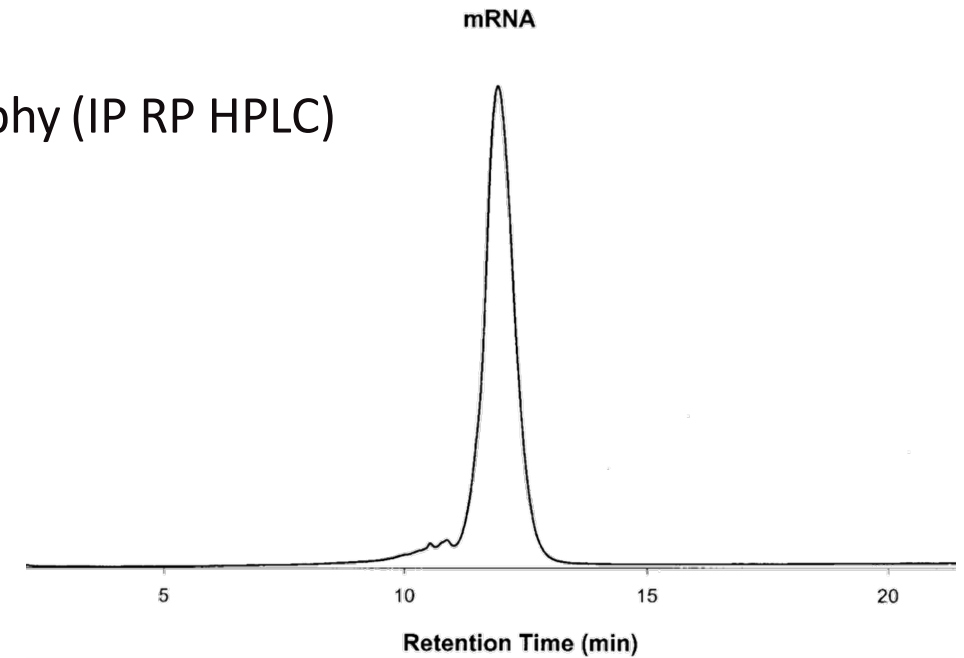


## Chromatographic approaches to test intact mRNA

- Size exclusion chromatography (SEC)
- Ion-pair reversed-phase high performance liquid chromatography (IP RP HPLC)
- Capillary gel electrophoresis (CGE)

## Stability indicating methods required for stability studies

A reduction in intact mRNA peak %area is observed under forced degradation conditions along with an increase in peak %area for degraded RNA



**mRNA sample preparation must include precautions to prevent degradation**

# POTENCY



- 21 CFR 600.3(s): The word **potency** is interpreted to mean. the specific ability or capacity of the product, as indicated. by appropriate laboratory tests or by adequately controlled. clinical data obtained through the administration of the. product in the manner intended, to effect a given.
- 21 CFR 610.10: Tests for potency shall consist of wither in vitro on in vivo tests, or both, which have been specifically designed for each product so as to indicate its potency in a manner adequate to satisfy the interpretation of potency given by the definition in 600.3(s) of this chapter.

## Why Needed:

**Provide information on practically all critical attributes: Identity, Purity, Potency, and Stability.**

## Challenges for mRNA based products *In Vitro*:

- Generally, have a complex mode of action, which may take time to understand and may have multiple effects which might require multiple endpoints or steps. This might mean multiple assays or a multi step assay which is complex to mimic.
- Require a fully characterised reference material.
- Need to be shown to be specific/selective, able to demonstrate product issues.
- Choice of “marker” difficult as it needs to be something that is truly specific to the mode of action.

*In vitro* translation followed by analysis of the protein produced

# THE POTENCY ASSAY



Infectivity assay - to demonstrate that the mRNA (and delivery system) is capable of reaching target cell population.

Assays needed to be designed with the critical understanding that they must facilitate delivery of the mRNA to the target cells and are capable of expressing a marker protein.





- *In vitro* translation followed by analysis of a marker protein produced.
- Transfection of mRNA into cells can often be the major challenge, especially for drug substance which do not contain the “delivery system” inherent to facilitate uptake in the drug product.
- Both physical and chemical means of transfection can be used.
- Ideally inclusion of a transfection control (GFP etc) and fluorescence microscopy to evaluate.
- Inclusion of a positive control, elicit a measurable, reproducible response
- Inclusion of a negative control ( can look at cell viability)

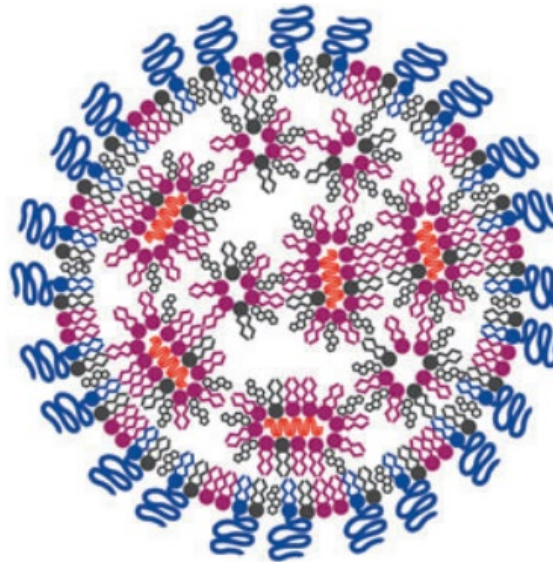
# mRNA DELIVERY SYSTEMS; LIPID NANOPARTICLES (LNPs)



Encapsulation of mRNA inside LNPs provides stability, delivery and safety advantages

LNPs for nucleic acid-based therapeutics typically consist of;

- Ionisable lipid 
- Structural lipid 
- PEG-lipid 
- Cholesterol 



## Liposome Drug Products

Chemistry, Manufacturing, and Controls; Human Pharmacokinetics and Bioavailability; and Labeling Documentation

### Guidance for Industry

Additional copies are available from:  
Office of Communications, Division of Drug Information  
Center for Drug Evaluation and Research  
Food and Drug Administration  
10001 New Hampshire Ave., Hillandale Bldg., 4<sup>th</sup> Floor  
Silver Spring, MD 20993-0002  
Phone: 855-543-3784 or 301-796-3400; Fax: 301-431-6353  
Email: [druginfo@fda.hhs.gov](mailto:druginfo@fda.hhs.gov)  
<http://www.fda.gov/Drugs/GuidanceComplianceResources/Information/Guidances/default.htm>

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)

April 2018  
Pharmaceutical Quality/CMC

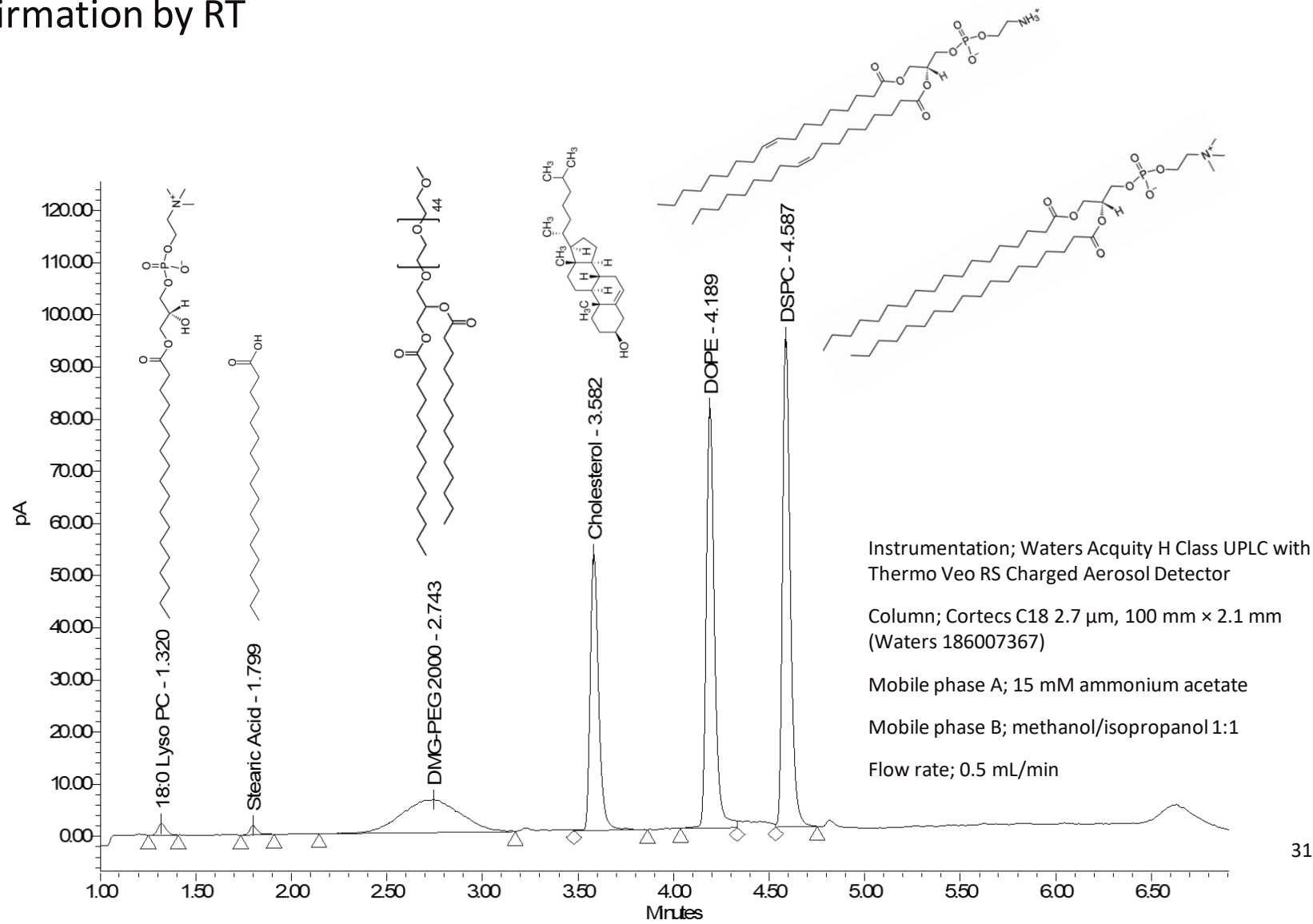
Lipid components and relative ratios are chosen to provide optimal encapsulation efficiency, stability, cellular uptake and endosomal release of the drug substance

# UPLC-CAD ANALYSIS OF LIPID NANOPARTICLES (LNPs)



Analysis of the lipid components of the LNPs can be performed using UPLC-CAD

- Lipid component identity confirmation by RT
- Lipid quantification
- Related impurity monitoring

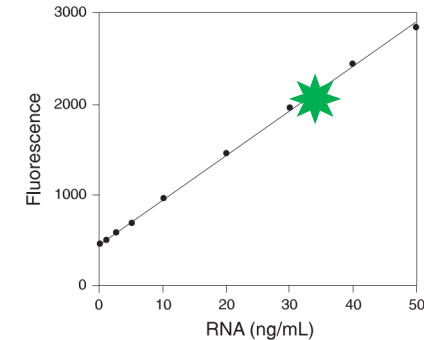
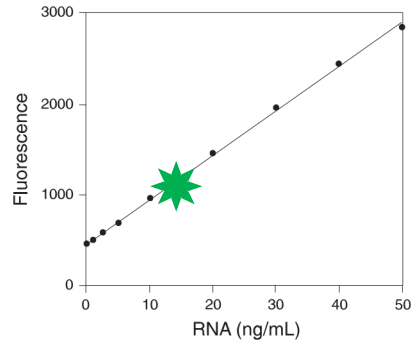




# mRNA ENCAPSULATION EFFICIENCY



Analysis of encapsulation efficiency of the mRNA inside the LNP can be performed using a RiboGreen® assay



# NOW YOU FORMULATE /SELECT DEVICE

## CRITERIA TO CHECK DURING DEVELOPMENT

(On top of standard device performance criteria)

- 1: Is Potency Lost Following Delivery?
- 2: Can you recover all the material from the device.?
- 3: Has the structural Integrity been affected, i.e. product related impurities increased.
- 4: Has the rate of release of the mRNA from the encapsulation system been affected.
- 5: Do you see degradation of the delivery system through delivery.



# RELEASE /STABILITY

## **ASOs**

Impurities/Purity

Delivered Dose

## **mRNA**

Potency

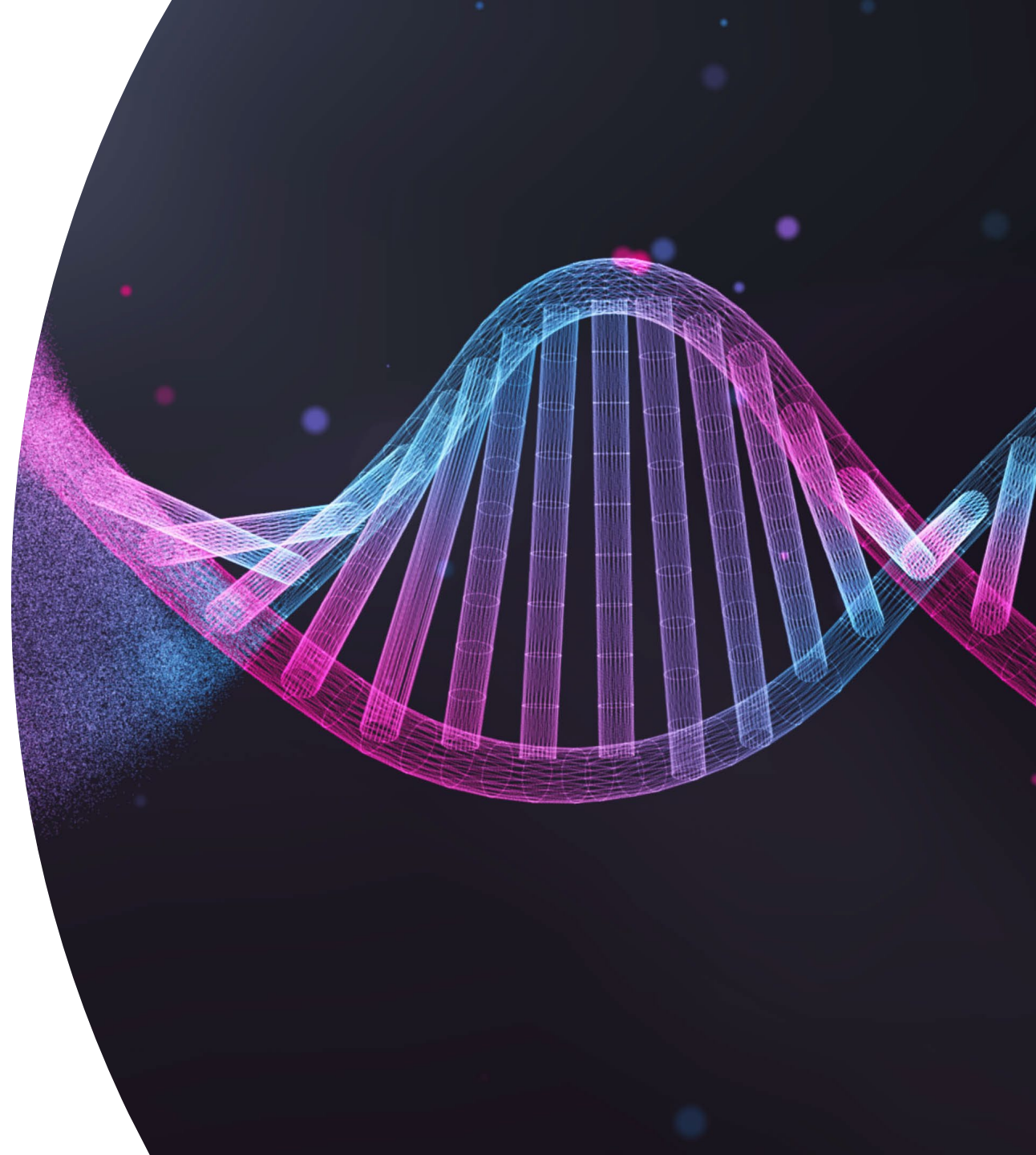
Integrity

## **Encapsulation System**

Encapsulation Efficiency

System Breakdown

Release



# CONCLUSIONS

---

- Inhaled /Nasal delivery has the potential to be of huge benefits for DNA/RNA medicine delivery. Overcoming one of the industries biggest potential challenges for lung/CNS delivery.
- Requires new approaches to analytics and a lot more !



**INHALED**

# Thank You!

**ANY QUESTIONS?**

**Ashleigh Wake**

 [biopharma@intertek.com](mailto:biopharma@intertek.com)

 [intertek.com/pharmaceutical/](https://intertek.com/pharmaceutical/)



**intertek**

**Total Quality. Assured.**