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Enabling a Healthier World



# Excipients for Respiratory Delivery of Large Molecules

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IPAC-RS  
International Pharmaceutical Aerosol  
Consortium on Regulation & Science

IPAC-RS Workshop:  
Inhaled Biologics: Preparing for  
a Future Beyond Small  
Molecules

September 4-5, 2024



Public



# Agenda

**1** Introduction and definitions

**2** Regulatory status of excipients

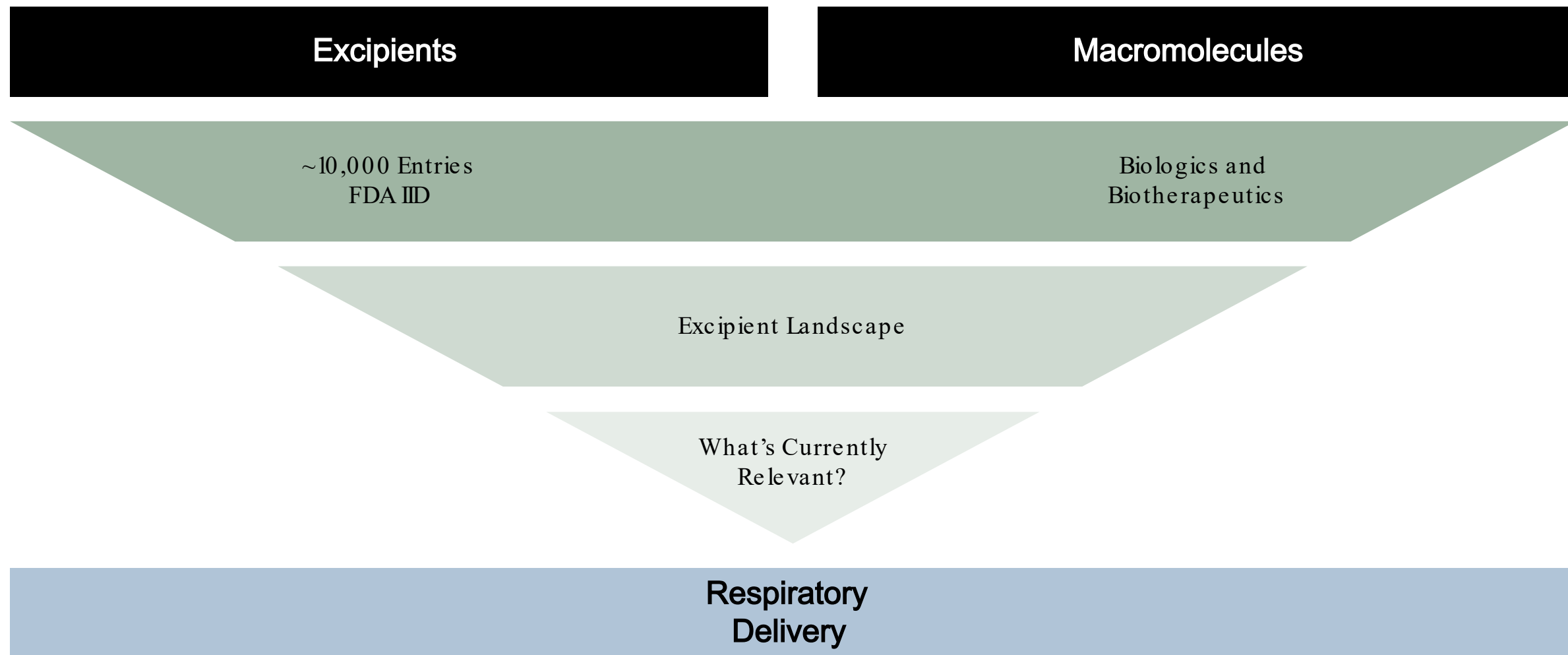
**3** Liquid dosage forms

**4** Dry powder dosage forms

**5** Challenges and outlook



# 1 Introduction and definitions



# Excipients for Respiratory Delivery of *Macromolecules*

What is most relevant in the current pipeline?

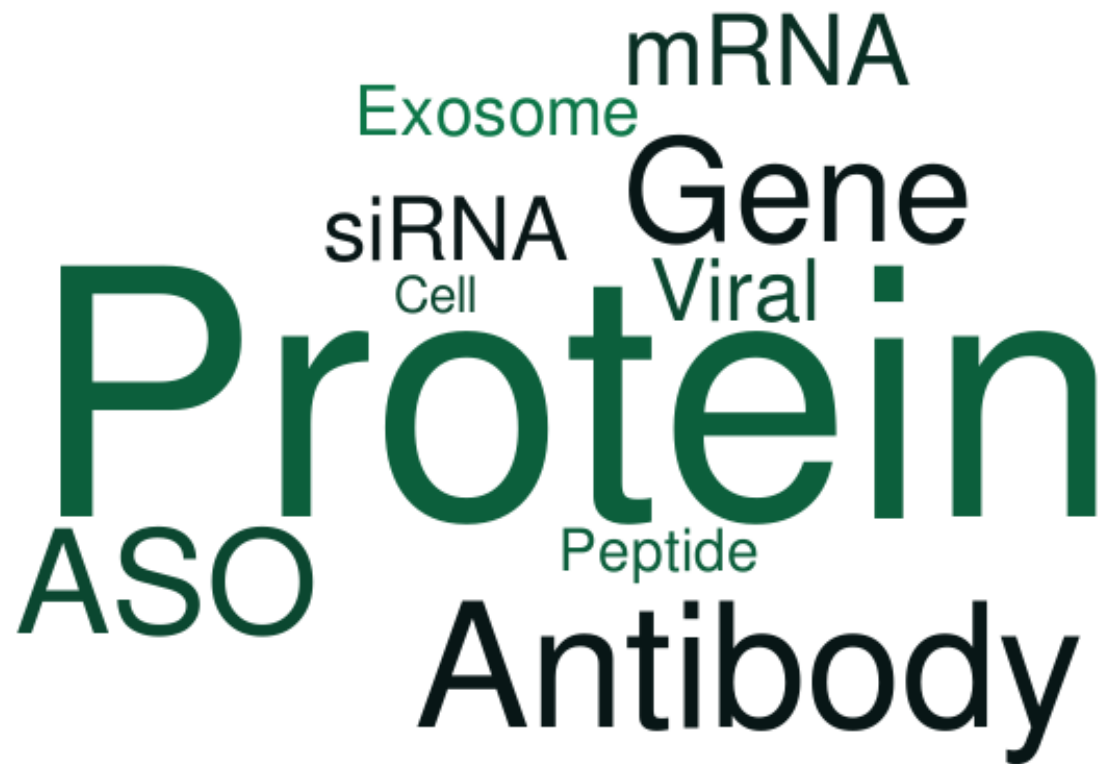


## Biologics and Biotherapeutic Macromolecules

- > 737 FDA-Approved Biologics (Purple Book Jan2024)
- > Biologic Products (Diverse and complex)
  - Recombinant Therapeutic Proteins – mAbs, Insulin
  - Vaccines – MMR, Tetanus, Polio, Seasonal influenza
  - Allergens – Allergenic extracts from molds, pollens, venoms, etc.
  - Blood and Blood Components - Thrombin and other clotting factors
  - Gene and Somatic Cell Therapies – Activated immune cells for re-infusion, Vector or non-vector introduced gene / knockout
  - Tissues – Bone, Skin, ligaments, heart valves
- > Complex structures generally derived from:
  - living material (human, animal, or microorganism; or their components)
  - recombinant technology
- > Excipient considerations are a function of biologic characteristics/class
- > Scope of this discussion centers around current respiratory-relevant biotherapeutics but it is important to consider the diversity of products which may eventually result in an inhalable product.

# Excipients for Respiratory Delivery of *Macromolecules*

Inhalation pipeline landscape



Source: Survey of 163 Molecules in Inhalation Pipeline, PharmaProjects Database 2021

Biologics currently with inhaled therapeutic relevance (pulmonary and nasal)

## 55% Protein/Polypeptide Biotherapeutics

Antibodies, peptides, recombinant proteins (interferons, interleukins, lung surfactant proteins, hormones, therapeutic enzymes, and others)

## 30% Nucleic Acid Derivatives

mRNA, ASO, RNAi, miRNAs, siRNA, aptamers



# Excipients for Respiratory Delivery of Macromolecules

Structure / Function / Stability

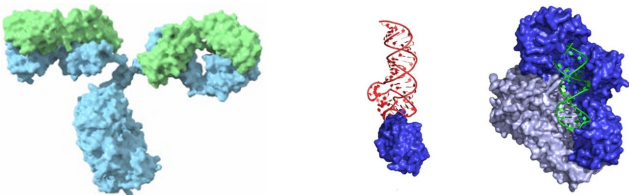


Biotherapeutic macromolecules can be challenging due to their complex and diverse molecular structures and close relationship between structure and function.

## Structure / Function / Stability

mAbs, proteins, peptides, etc...

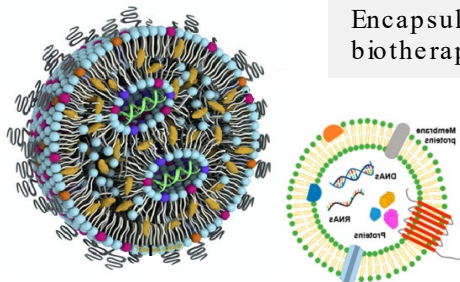
DNA and RNA Aptamers



Naked NA therapeutics (ASOs, siRNA, mRNA,...)

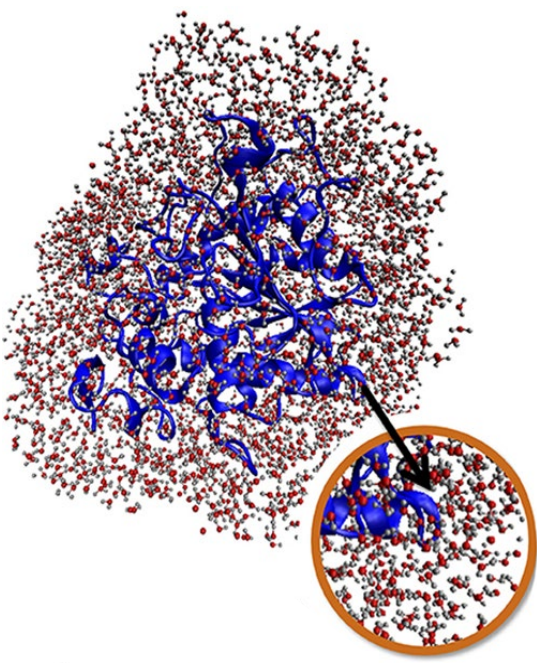


Encapsulated biotherapeutics



## Dynamic Molecules / Mixtures

### Excipients Impact Structure and Function / Physical and Chemical Stability



Solvation of *Candida antarctica* lipase B (CALB) (33kDa)

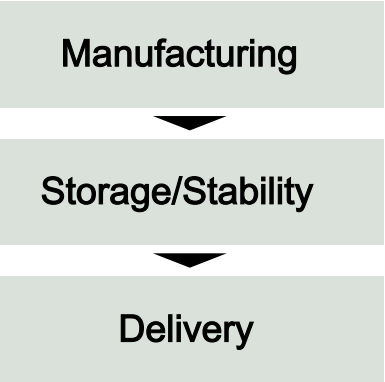
Source: Dahanayake, J, Mitchell-Koch, K. How Does Solvation layer mobility Affect Protein Structural Dynamics? Frontiers in Molecular Biosciences, June 20 18.

Table 1. Excipient functional category, class and types used in biologics.

Functional Category <sup>a</sup>	Excipient Class <sup>b</sup>	Types
pH Modifier (Acidifying/Alkalizing/Buffering Agent)	Buffering Agents	Acetate, Citrate, Tartrate, Histidine, Glutamate, Phosphate, Tris, Glycine, Bicarbonate, Succinate, Sulfate, Nitrate
Tonicity Agent	Tonicity Modifiers	Mannitol, Sorbitol, Lactose, Dextrose, Trehalose, Sodium Chloride, Potassium Chloride, Glycerol, Glycerin
Bulking Agent	Sugars and polyols	Sucrose, Trehalose, Glucose, Lactose, Sorbitol, Mannitol, Glycerol
	Amino Acids	Arginine, Aspartic Acid, Glutamic acid, Lysine, Proline, Glycine, Histidine, Methionine, Alanine,
	Polymers and proteins	Gelatin, PVP, PLGA, PEG, dextran, cyclodextrin and derivatives, starch derivatives, HSA, BSA
Wetting and/or Solubilizing Agent	Surfactants	Polysorbate 20 (Tween 20), Polysorbate 80 (Tween 80), Poloxamer (Pluronic F68 and F127), Triton X-100, Brij 30, Brij 35
Antioxidant	Antioxidant Preservatives	Histamine, methionine, ascorbic acid, glutathione, vitamin E, poly(ethylenimine)
Antimicrobial Preservative	Antimicrobial Preservatives	Benzyl alcohol, metacresol, phenol, 2-phenoxyethanol
Chelating and/or Complexing Agents	Chelator Preservatives	Edetate disodium, diethylenetriamine pentaacetic acid (DTPA), citric acid, hexaphosphate, thioglycolic acid, zinc

<sup>a</sup>Functional category modified from USP-NF 42-37 [8].  
<sup>b</sup>Excipient class adapted from "Excipient selection in biologics and vaccines formulation development" [9] and "Excipients Used in Biotechnology Products" [10].

Source: Ionova Y, Wilson L (2020) Biologic excipients: Importance of clinical awareness of inactive ingredients. PLoS ONE 15(6): e0235076. <https://doi.org/10.1371/journal.pone.0235076>



*Excipients are vital in formulating the dosage form by enhancing the manufacturability, stability, and delivery of the drug product.*

## 2 Regulatory Status

### Excipients / Inactive Ingredients



#### US Food and Drug Administration

21 CFR 210.3(b)(8)

> Inactive ingredient means any component other than an active ingredient.

#### European Medicines Agency

Article 1(3b) of Directive 2001/83/EC, as amended by Commission Directive 2011/62/EU

> Excipient is any constituent of a medicinal product other than the active substance and the packaging

- 60 Functional Categories in USP-NF Excipient List
- Nearly 10,000 entries in the US FDA Inactive Ingredient Database (IID)

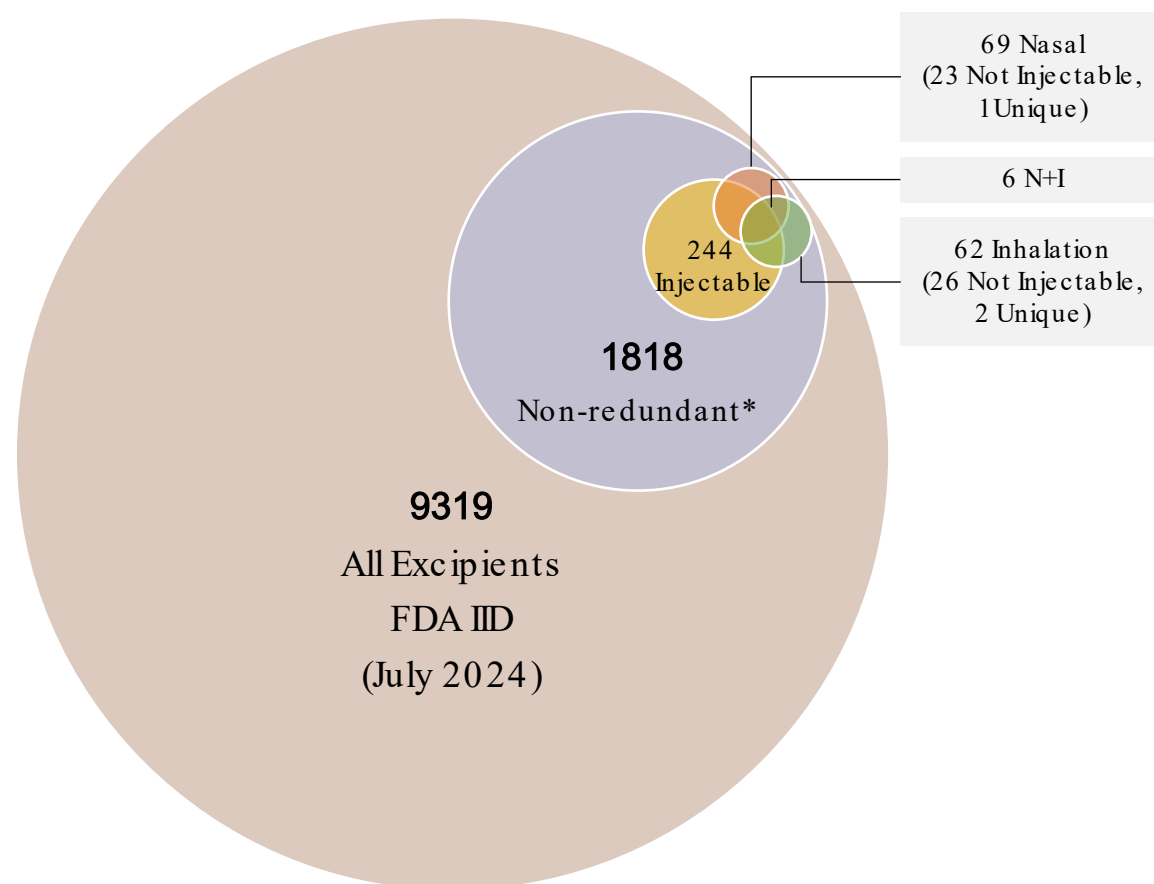
#### US Pharmacopeia and National Formulary – Excipient Functional Categories

Acidifying or Alkalinizing Agent	Adhesive	Air Displacement	Alcohol Denaturant	Antifoaming or Defoaming Agent	Antimicrobial Preservative	Antioxidant	Anti-tack Agent	Biodegradable Polymer	Buffering Agent
Bulking Agent	Capsule Shell	Carrier	Chaotropic Agent	Chelating Agent	Coating Agent	Colloid Stabilizing Agent	Crystallization Inhibitor	Desiccant	Diluent
Disintegrant	Drag-Reducing Agent	Dry Binder	Emollient	Emulsifying Agent	Filler	Film-Forming Agent	Filtering Aid	Flavors and Fragrance	Free Radical Scavenger
Gelling Agent	Glidant and/or Anticaking Agent	Humectant	Liposome Forming Agent	Lubricant	Muco-Adhesive	Ointment Base	Opacifier	Permeation Enhancer	Pharmaceutical Water
Physical-Chemical Identifiers	pH Modifier	Plasticizer	Polymeric Membrane	Polymers for Ophthalmic Use	Printing Ink Component	Propellant	Protein Stabilizer	Reducing Agent	Release-Modifying Agent
Solubilizing Agent	Solvent	Sorbent	Stabilizer	Stiffening Agent	Sugar-Coating Agent	Suppository Base	Surfactant	Suspending and/or Viscosity-Increasing Agent	Sweetening Agent
Tonicity Agent	Transfer Ligand	Vehicle	Viscosity-Lowering Agent	Water-Repelling Agent	Wet Binder				

\*USP-NF 2024 Excipient Monograph (as of 01Aug2024)

# Excipients for Respiratory Delivery of Macromolecules

Survey of FDA Inactive Ingredient Database (IID)



\*Non-Redundant Entries: Reduced to remove redundancies in chemical entities (CAS #) across all routes / concentrations

## Limited selection of approved excipients for nasal and inhalation routes\*

- > 105 FDA-approved excipients for nasal and inhalation routes
- > Significant overlap with injectable routes of administration
- > Existing resources and literature on excipients rarely distinguish the use of excipients among different drug types, such as small molecule synthetic drugs and biotechnology-derived drugs
- > Are these 105 respiratory excipients relevant relative to approved excipients for biotherapeutics?

\*Includes: Endosinusal, Endotracheal, Intrapleural



# Excipient Landscape for Protein and NA Biotherapeutics

FDA-Approved Protein (397) and NA Formulations (21)



Protein Biotherapeutics

and

NA Biotherapeutics

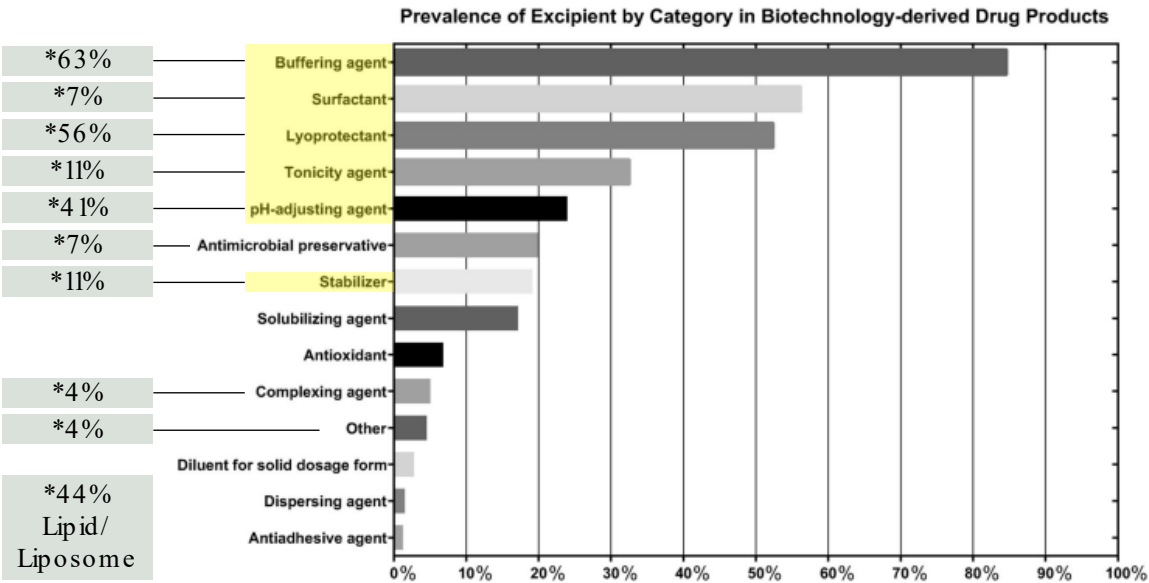


Fig. 1 Prevalence of excipient by category in biotechnology-derived drug products

Source: Rao VA, Kim JJ, Patel DS, Rains K, Stoll CR. A Comprehensive Scientific Survey of Excipients Used in Currently Marketed, Therapeutic Biological Drug Products. *Pharm Res*. 2020 Sep 24;37(10):200. doi: 10.1007/s11095-020-02919-4. Erratum in: *Pharm Res*. 2022 Apr;39(4):825. doi: 10.1007/s11095022-03253-7. PMID: 32968854; PMCID: PMC9010397.

Source: Ingle, Rahul G. and Wei-Jie Fang. "An Overview of the Stability and Delivery Challenges of Commercial Nucleic Acid Therapeutics." *Pharmaceutics* 15 (2023).

Table 4 Common Excipients Among Unique Formulations for All Biotechnology-derived Drug Products

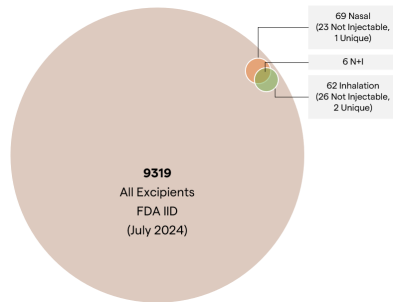
Excipients	Percentage of unique formulations (n = 397)	(n = 21)
Sodium phosphate	39.04%	Sodium Phosphate 52%
Polysorbate 80	32.49%	Sodium Chloride 52%
Sodium chloride	32.24%	Sodium Hydroxide 43%
Sucrose	23.68%	Hydrochloric Acid 38%
Sodium hydroxide	20.15%	Potassium Phosphate 33%
Mannitol	19.40%	Potassium Chloride 19%
Polysorbate 20	17.63%	DSPC 14%
Histidine	17.38%	Cholesterol 14%
Hydrochloric acid	14.36%	Sucrose 10%
Metacresol	12.34%	

# Current Respiratory Excipient Toolbox

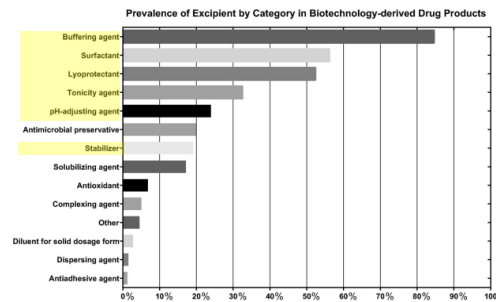
## Protein and NA Biotherapeutics



## 105 Inhalation and Nasal Excipients



~67% (70) are Protein/NA-relevant Excipients



~48% (50) represented in most prevalent biotherapeutic -excipient categories

- > Buffering (and pH -Adjusting Agents ), Salts
  - pH and salt concentration are critical for controlling folding state and minimize physical and chemical degradation.
  - Most commonly utilized buffer is sodium phosphate with some level of sodium or potassium chloride.
- > Surfactants
  - These agents act to stabilize against interfacial tension and to reduce aggregation or protein - protein interactions.
  - Most commonly utilized surfactants included polysorbate 80, polysorbate 20, and poloxamer 188.
- > Stabilizers, Lyophilizing / Bulking Agents
  - They act to maintain molecular structure in both the liquid and solid state affecting physical stability.
  - Most commonly utilized stabilizers and lyoprotectants are sugars - sucrose, mannitol, and trehalose.

Category	Present	Absent
Buffering Agent	ACETIC ACID, ANHYDROUS CITRIC ACID, CALCIUM CARBONATE, CITRIC ACID MONOHYDRATE, GLYCINE, MONOBASIC POTASSIUM PHOSPHATE, SODIUM BICARBONATE, SODIUM PHOSPHATE, SODIUM PHOSPHATE, DISSAC (ANHYDROUS, DHYDRATE), CODECANTORATE, HEPTATHYDRATE), TROMETHAMINE	SODIUM ACETATE, SODIUM SUCCINATE, ARGININE, ALANINE, ASPARTIC ACID, GLUTAMIC ACID, LYSINE, HISTIDINE
pH-Adjusting Agent	ACETIC ACID, ANHYDROUS CITRIC ACID, ANHYDROUS TRISODIUM CITRATE, ASCORBIC ACID, CITRIC ACID MONOHYDRATE, HYDROCHLORIC ACID, NITRIC ACID, SODIUM BICARBONATE, SODIUM HYDROXIDE, SULFURIC ACID	PHOSPHORIC ACID

Category	Present	Absent
Surfactant	BENZALKONIUM CHLORIDE, CETYLPIRIDINIUM CHLORIDE, POLYSORBATE 20, POLYSORBATE 80, PROPYLENE GLYCOL, SODIUM LAURYL SULFATE	<u>POLOXAMER 188</u> , TRIPALMITIN, PALMITIC ACID, COLFOSCERIL PALMITATE, DISMYRISOTYL GLYCEROL

Category	Present	Absent
Lyoprotectant / Bulking Agent	GLYCINE, LACTOSE, MANNITOL	SUCROSE, TREHALOSE

<b>Stabilizer</b>	BUTYLATED HYDROXYTOLUENE, CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM, EDTATE DISODIUM, FERRIC OXIDE, GLYCINE, HYDROXYETHYL CELLULOSE (2000 MPa.s AT 1%), HYPRMELLOSE, MANNITOL, POLYETHYLENE GLYCOL 3350, POLYETHYLENE GLYCOL 400, POLYSORBATE 20, POLYSORBATE 80, SILICON DIOXIDE, SODIUM METABISULFITE, SORBITOL, TITANIUM DIOXIDE	ALBUMIN, ARGININE, ALANINE, ASPARTIC ACID, GLUTAMIC ACID, LYSINE, HISTIDINE
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[illegible]

# Liquid Dosage Forms



### 3 Liquid Dosage Forms

make up the majority of inhaled large molecule formulations...



...marketed, under clinical...

Name	API	Development Stage	Company/Sponsor	Clinical Application	Delivery Device	Formulation	Reference, Year & Clinical Trial Number
<b>Inhaled proteins</b>							
Atezolizumab	Insulin, 5.7 kDa	Marketed in 2014	MarenKind	Diabetes mellitus	DreamSorb® inhaler	Technosphere® insulin inhalation powder	[187,188] 2014
Alpha-1-AT	Human α <sub>1</sub> -PI, 52 kDa	Phase II	Grifols Therapeutics	CF	AKITA® APDNER® nebuliser system	Inhaled solution	[198] 2016, NCT01684410
AZD1402/PRS-000	IL4 mAb (IL-4RA antagonist), ~16 kDa	Phase I	AstraZeneca & Paris Pharmaceuticals	Asthma	InnoSpin Go mesh nebuliser	Inhaled solution	[164,165] 2019, NCT03384200 and NCT03574805
Alteplase	t-PA, 70 kDa	Phase II	University of Michigan & Genentech	Acute placental insufficiency	Nebuliser	Inhaled solution	[173] 2017, NCT02315888
ALX-009	OSCN-bLF, 80 kDa	Phase I	Almac SAS	Pneumonia and BCG infection in CF	Nebuliser	Inhaled solution	[194] 2018, NCT02605999
Aldosterone alfa (PRX-110, AIR Disease™)	rhDNase I, 37 kDa	Phase I	Pontalis	CF	Philips Respironics I-neb AAD inhaler system	Inhaled solution	[195] 2017, NCT02605999
Dornase alfa (Pulmozyme®)	rhDNase I, 37 kDa	Marketed in 2006	Genentech	CF	Jet nebuliser/air compressor combination	Inhaled solution	[189] 1996
Dornase alfa	rhDNase I, 37 kDa	Phase IV	Erasmus Medical Centre-Sophia Children's Hospital	CF	AKITA® APDNER® nebuliser system	Inhaled solution	[196] 2011
Dornase alfa	rhDNase I, 37 kDa	Phase IV	PARI	CF	eRapid™ nebuliser system	Inhaled solution	[197] 2010, NCT01131044
DAS181 (Fludase®)	Recombinant sialidase fusion protein, 46 kDa	Phase I/II	Ansum BioPharma	Parainfluenza infection	Cyclodol® DPI	Dry powder	[154,167] 2015, NCT01037205, NCT01942703, NCT01131044
Exubera®	Insulin, 5.7 kDa	Marketed in 2006; withdrawn in 2007	Pfizer/Nektar Therapeutics	Diabetes mellitus	Exubera® DPI inhaler	SD powder	[190] 2004
EpoFc	Epo Fc-fusion protein	Phase I	Syntorix Pharmaceuticals	Anemia	Aeroneb® Pro nebuliser	Inhaled solution	[197] 2008
GM-CSF (Leukine®, Sengnostim®)	rhGM-CSF, 14 kDa	Phase I	Milton S. Hershby Medical Center	RAP	Nebuliser	Inhaled solution	NCT02601368
		Phase II	Children's Hospital Medical Center, Cincinnati	PAP	Nebuliser	Inhaled solution	NCT01511068
		Phase II	Peking Union Medical College Hospital	PAP	Nebuliser	Inhaled solution	NCT02243228
ALX-0171	Anti-F protein trivalent Nanobody®, 42 kDa	Phase II	Abyris	RSV infection	FOC-Hamming inhalation system	Inhaled solution	2017, NCT02994331 and NCT03418573
CSJ117	Anti-TSLP antibody fragment, 46 kDa	Phase I/II	Novartis	Asthma	Concept1 device (single dose DPI)	PulmoGel™ engineered powder	[191] 2020, NCT01318811 and NCT04410523
E25	Omalizumab, 149 kDa	Phase III	Genentech/Novartis	Asthma	PARI IS-2 nebuliser	Inhaled solution	[85] 1999
GSK1995057	Anti-TNFR1 dAb, 13 kDa	Phase I	GSK	Acute lung injury	PARI eFlow® nebuliser	Inhaled solution	[83] 2018, NCT01867800
GSK2862277	Anti-TNFR1 dAb, 13 kDa	Phase II	GSK	Postoperative lung injury	PARI eFlow® nebuliser	Inhaled solution	[83] 2020, NCT02221037
VR842/CDP7766	Anti-IL-13 mAb fragment	Phase I	UCB Pharma	Asthma	Multidose FIP DPI	Dry powder	[45] 2018, NCT02473939

API: active pharmaceutical ingredient; AAT: alpha-1-antitrypsin; AATD: alpha-1-antitrypsin deficiency; bLF: bovine lactoferrin; BCG: burkholderia cepacia complex; CF: cystic fibrosis; COPD: Chronic obstructive pulmonary disease; dAb: domain antibody; DPI: dry powder inhaler; Epo: erythropoietin; RDS: respiratory distress syndrome; RSV: respiratory syncytial virus; rhDNase I: recombinant human deoxyribonuclease I; IL-2: interleukin-2; OSCN: Hypothecus; PAP: pulmonary alveolar proteinosis; P: aeruginosa; pseudomonas aeruginosa; r-PA: recombinant tissue plasminogen; rhGM-CSF: recombinant human granulocyte-macrophage colony stimulating factor; RAS: respiratory virus-associated severe pneumonia; RVE: respiratory viral infection; SD: spray dried; TNFR1: tumour necrosis factor receptor-1; IL-4RA: interleukin-4 receptor alpha; IL-13: interleukin-13; PE: protease inhibitor; TSLP: thymic stromal lymphopoietin.

...and pre-clinical development.

Drug	Target Disease	Formulation	Animal	Target/Format	Administration/Device	Reference & Year
<b>Aldesleukin</b>	<b>Pulmonary metastases</b>	<b>Liposome</b>	<b>Dogs</b>	<b>IL-2</b>	<b>Puritan Bennett™ twin-jet nebuliser</b>	<b>[109,110] 1997</b>
<b>ALX-0171</b>	<b>RSV infection</b>	<b>Inhaled solution</b>	<b>Cotton rats</b>	<b>Anti-Fusion protein trivalent Nanobody®</b>	<b>AKITA® APDNER® nebuliser</b>	<b>[27,96] 2016</b>
<b>ALX-0171</b>	<b>RSV infection</b>	<b>Inhaled solution</b>	<b>New born lambs</b>	<b>Anti-Fusion protein trivalent Nanobody®</b>	<b>Aeroneb® Solo system</b>	<b>[97] 2018</b>
<b>Anti-IL-17A PEG40-F(ab')<sub>2</sub> and Anti-IL-13 PEG40-Fab'</b>	<b>Asthma</b>	<b>Inhaled solution</b>	<b>NMRI mice</b>	<b>Anti-IL-17A F(ab')<sub>2</sub> and Anti-IL-13 Fab</b>	<b>Intranasal instillation</b>	<b>[111] 2014</b>
<b>Anti-IL-17A PEG40-Fab'</b>	<b>Asthma</b>	<b>Inhaled solution</b>	<b>Mice, rats and rabbits</b>	<b>Anti-IL-17A Fab</b>	<b>Intratracheal instillation</b>	<b>[37] 2017</b>
<b>Anti-IL-17A PEG20-Fab', Anti-IL-17A PEG40-Fab' and Anti-IL-13 PEG40-Fab'</b>	<b>Asthma</b>	<b>Inhaled solution</b>	<b>Mice</b>	<b>Anti-IL-17A and anti-IL-13 Fab</b>	<b>Intratracheal instillation</b>	<b>[60] 2018</b>
<b>Cetuximab</b>	<b>Lung tumour</b>	<b>Inhaled solution</b>	<b>Balb/c nude mice</b>	<b>Anti-EGFR mAb</b>	<b>Aeroneb Pro™ mesh nebuliser</b>	<b>[22,33] 2011</b>
<b>Cetuximab</b>	<b>Lung tumour</b>	<b>Inhaled solution</b>	<b>Balb/c nude mice and cynomolgus macaques</b>	<b>Anti-EGFR mAb</b>	<b>Microsprayer® IA-1b aerosoliser</b>	<b>[21] 2014</b>
<b>CA154_582</b>	<b>Asthma</b>	<b>Inhaled solution</b>	<b>Balb/c mice</b>	<b>Anti-IL-13 Fab</b>	<b>inExpose nebulisation system</b>	<b>[88] 2012</b>
<b>CDI7766</b>	<b>Asthma</b>	<b>Inhaled solution</b>	<b>Cynomolgus macaques</b>	<b>Anti-IL-13 Fab</b>	<b>PARI eFlow® mesh nebuliser</b>	<b>[89] 2017</b>
<b>EpoFc</b>	<b>Anemia</b>	<b>Inhaled solution</b>	<b>Cynomolgus monkeys</b>	<b>Erythropoietin Fc-fusion protein</b>	<b>Aeroneb Pro® nebuliser</b>	<b>[57] 2004</b>
<b>FSHFc</b>	<b>Infertility</b>	<b>Inhaled solution</b>	<b>Cynomolgus monkeys</b>	<b>FSH Fc-fusion protein</b>	<b>Aeroneb Pro™ nebuliser</b>	<b>[81] 2005</b>
<b>GSK1995057</b>	<b>Acute lung injury</b>	<b>Inhaled solution</b>	<b>Cynomolgus monkeys</b>	<b>Anti-TNF receptor-1 dAb</b>	<b>Intratracheal instillation</b>	<b>[38] 2018</b>
<b>hGH</b>	<b>Growth hormone deficiency</b>	<b>SD powder</b>	<b>Wistar rats</b>	<b>hGH</b>	<b>Dry Powder Insufflator™</b>	<b>[65] 2004</b>
<b>Influenza subunit vaccine</b>	<b>Influenza</b>	<b>SD and SFD powder</b>	<b>Balb/c mice</b>	<b>Surface glycoprotein haemagglutinin</b>	<b>Dry Powder Insufflator™</b>	<b>[112] 2010</b>
<b>IFNβFc</b>	<b>Multiple sclerosis</b>	<b>Inhaled solution</b>	<b>Cynomolgus monkeys</b>	<b>IFNβ Fc-fusion protein</b>	<b>Aeroneb Pro® mesh nebuliser</b>	<b>[82] 2012</b>
<b>IgG 43RCA-G1</b>	<b>Ricin intoxication</b>	<b>Inhaled solution</b>	<b>Balb/c mice/cynomolgus macaques</b>	<b>Anti-ricin mAb derived from scFv 43RCA</b>	<b>Micropipette tip and Aeroneb® Solo mesh nebuliser</b>	<b>[36] 2016</b>
<b>Infliximab</b>	<b>Asthma</b>	<b>SD powder</b>	<b>Balb/c mice</b>	<b>Anti-TNFα mAb</b>	<b>Dry Powder Insufflator™</b>	<b>[34] 2019</b>
<b>p55-specific dAb</b>	<b>Ventilator-induced lung injury</b>	<b>Inhaled solution</b>	<b>C57BL/6 mice</b>	<b>Anti-p55 TNF receptor dAb</b>	<b>Intratracheal instillation</b>	<b>[91] 2012</b>
<b>PEG-rhα<sub>1</sub>-PI</b>	<b>Hereditary emphysema</b>	<b>Solution</b>	<b>CD1 mice</b>	<b>α<sub>1</sub>-PI</b>	<b>Intranasal instillation</b>	<b>[113] 2002</b>
<b>PEG12-IFNα/PEG40-IFNα</b>	<b>Cancer or fibrosis</b>	<b>Inhaled solution</b>	<b>SD rats</b>	<b>PEGylated IFNα</b>	<b>Intratracheal instillation</b>	<b>[114] 2014</b>

dAb: domain antibody; EGFR: epidermal growth factor receptor; FSH: follicle-stimulating hormone; hGH: human growth hormone; IFNβ: interferon beta; IL: interleukin; PEG: polyethylene glycol; RSV: respiratory syncytial virus; rhα<sub>1</sub>-PI: recombinant α<sub>1</sub>-proteinase inhibitor; scFv: single-chain variable fragment; SD: spray dried; SFD: spray freeze dried; TNF: tumour necrosis factor.

Source: Liang W. et al., *Pharmaceutics* 2020, 12, 1025; doi: <https://doi.org/10.3390/pharmaceutics12111025>

### 3 Liquid Dosage Forms

generally include **solutions** or **suspensions** that can be administered via

#### Nebulizers



#### Soft mist inhalers



#### Nasal sprays



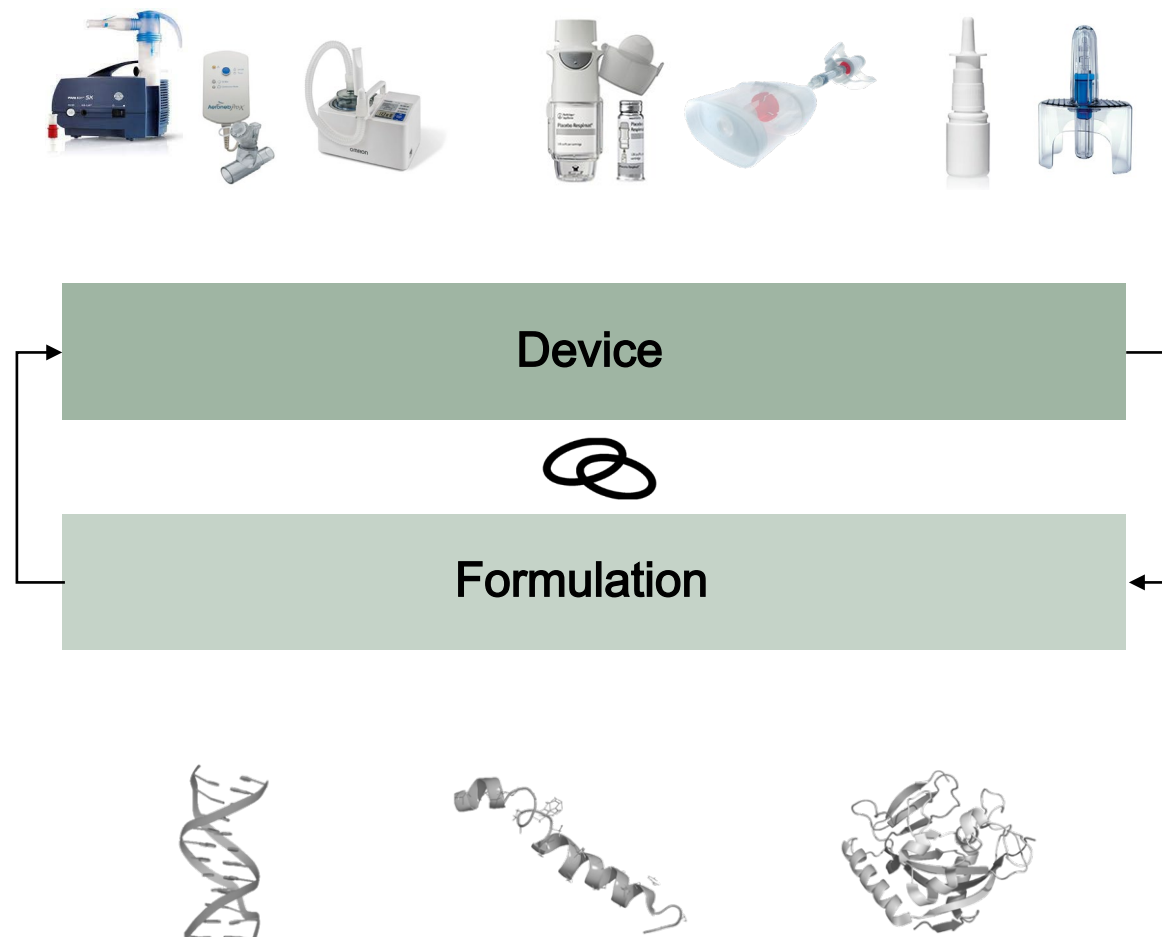


### 3 Liquid Dosage Forms

#### Formulation considerations

- > Type of device, tailored for a specific administration route, and class of biologic (at least) will determine the formulation pathway – Device and formulation design to be carried out in parallel

- > Formulation excipients kept to a minimum.



### 3 Liquid Dosage Forms

#### Formulation considerations



**Excipient selection drivers to enable a safe and effective liquid -based drug product**

- Retaining biologic conformational structure (if applicable) and/or potency/activity,
- Meeting spray characteristics and aerodynamic performance targets (might be different as per administration route),
- And preserving formulation sterility,

**across drug product shelf -life.**

### 3 Liquid Dosage Forms

#### Formulation considerations



#### Retaining biologic conformational structure and/or potency/activity

Conformational structure and potency/activity can be affected by physical (non-covalent) and/or chemical (covalent) degradation.

### 3 Liquid Dosage Forms

#### Formulation considerations

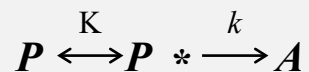
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Conformational structure and potency/activity can be affected by **physical (non-covalent)** and/or chemical (covalent) degradation.

#### Physical degradation

> Interfacial adsorption.

> Aggregation.



Lumry-Eyring framework of protein aggregation reaction in a bulk solution

$P$ - Native form of the protein

$P^*$ - Aggregation competent non-native form

$A$ - Aggregate

Source: Gokarn Y. et al., Chapter 17, 2006, CRC Press 1<sup>st</sup> edition; doi: <https://doi.org/10.1201/9781420004137>

Chi, E.Y. et al., *Pharm Res* 20, 1325–1336, 2003; doi: <https://doi.org/10.1023/A:1025771421906>

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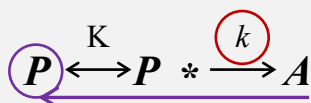
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### $P^*$ - Protein transition state preceding the formation of an aggregation intermediate

- > Select excipients that modulate solution conditions
  - favoring the native form,  $P$ ,
  - and lowering the kinetic reaction rate constant ( $k$ ).

Source: Gokarn Y. et al., Chapter 17, 2006, CRC Press 1<sup>st</sup> edition; doi: <https://doi.org/10.1201/9781420004137>  
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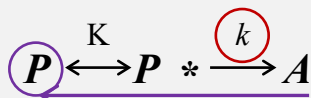
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$A$  - Aggregate

### Buffering agents control pH

> Organic and amino acids, phosphates and Tris

Buffering agent	pK <sub>a</sub>	Previously approved for
Citrate	pK <sub>a1</sub> = 3.1, pK <sub>a2</sub> = 4.8, pK <sub>a3</sub> = 6.4	IV, Pulmonary, Nasal
Acetate	4.8	ID, IM, IV, SC, Nasal
Succinate	pK <sub>a1</sub> = 4.8, pK <sub>a2</sub> = 5.5	IV
Histidine (imidazole)	6.0	IV, SC, Nasal
Phosphate	pK <sub>a1</sub> = 2.15, pK <sub>a2</sub> = 7.2, pK <sub>a3</sub> = 12.3	IM, IV, Nasal
Tris	8.1	IV, SC

ID – Intradermal; IM – Intramuscular; IV – Intravenous; SC – Subcutaneous

Source: Gokarn Y. et al., Chapter 17, 2006, CRC Press 1<sup>st</sup> edition; doi: <https://doi.org/10.1201/9781420004137>

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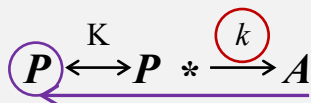
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### Salts, Amino acids, Sugars and Polyols

- > Stabilization of  $P$  by
  - binding to charged residues on the protein's surface,
  - shielding repulsive electrostatic interactions between residues within the protein,
  - and/or by preferential exclusion.
- > Stabilization of  $P^*$  by binding to the peptide groups along the protein backbone.

### 3 Liquid Dosage Forms

#### Formulation considerations

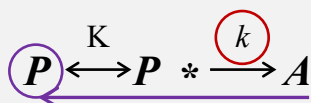
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### Salts

Salts	Previously approved for
Sodium chloride	ID, IM, IV, SC, Pulmonary, Nasal,
Potassium chloride	IM, IV, Pulmonary (powder), Nasal
Sodium sulfate	IV, Pulmonary

ID – Intradermal; IM – Intramuscular; IV – Intravenous; SC – Subcutaneous

$\text{PO}_4^{3-} > \text{SO}_4^{2-} > \text{HPO}_4^{2-} > \text{F}^- > \text{Cl}^- > \text{Br}^- > \text{I}^- > \text{NO}_3^- > \text{ClO}_4^- > \text{SCN}^-$		
$\text{N}(\text{CH}_3)_4^+ > \text{NH}_4^+ > \text{Cs}^+ > \text{Rb}^+ > \text{K}^+ > \text{Na}^+ > \text{H}^+ > \text{Ca}^{2+} > \text{Mg}^{2+} > \text{Al}^{3+}$		
<u>kosmotropic ions</u>	<u>characteristics / property</u>	<u>chaotropic ions</u>
↑	water surface tension	↓
↓	protein solubility	↑
↓	protein denaturation	↓
↑	protein stability	↑
↑	protein hydrophobicity	↓

**Hofmeister series** of anions and cations and their influence on protein solution properties.

Source: Kurac T, Polakovic M, *Membranes* 12, 1173, 2022; doi: <https://www.mdpi.com/2077-0375/12/12/1173>

### 3 Liquid Dosage Forms

#### Formulation considerations

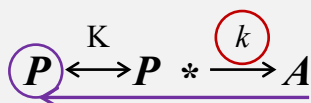
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### Amino acids, Sugars and Polyols

Amino acids	Previously approved for
Glycine	ID, IM, IV, SC, Pulmonary (powder)
Proline	IV
Serine	IV
Alanine	IV
Arginine	IM, IV

ID – Intradermal; IM – Intramuscular; IV – Intravenous; SC – Subcutaneous

Sugars and polyols	Previously approved for
Sucrose	IV, SC
Trehalose	ID, SC
Mannitol	IM, IV, SC, Nasal, Pulmonary (powder)
Sorbitol	IM, IV, SC, Nasal

ID – Intradermal; IM – Intramuscular; IV – Intravenous; SC – Subcutaneous

### 3 Liquid Dosage Forms

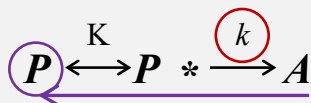
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### Surfactants

- > Stabilization of  $P^*$  by out-competing proteins for interfacial positions, preventing adsorption.

Surfactants	Previously approved for
Benzalkonium chloride	IM, Pulmonary, Nasal
Polysorbate 20	IM, IV, SC, Nasal
Polysorbate 80	IM, IV, SC, Pulmonary, Nasal
Palmitic acid	IV
Poloxamer 188	IM, IV
Sodium lauryl sulfate	Pulmonary (powder)

IM – Intramuscular; IV – Intravenous; SC – Subcutaneous



### 3 Liquid Dosage Forms

#### Formulation considerations



## Retaining biologic conformational structure and/or potency/activity

Conformational structure and potency/activity can be affected by physical (non-covalent) and/or **chemical (covalent)** degradation.

### Chemical degradation

- > Non-reducible cross linking.
- > Deamidation.
- > Formation of basic or acidic species.
- > Glycation.
- > Isomerization.
- > Oxidation.
- > Fragmentation.
- > C-terminal clipping.
- > Reduction.
- > Hydrolysis.
- > Racemization.

### Antioxidants and chelating agents

- > Ablating active oxygen species in solution or
- > Binding trace metal contaminants that promote free radical formation.

Antioxidants and chelating agents	Previously approved for
EDTA	IM, IV, SC, Pulmonary, Nasal
DTPA	IV
Histidine	IV, SC
Methionine	IV, SC
Ethanol	IM, Pulmonary, Nasal

IM – Intramuscular; IV – Intravenous; SC – Subcutaneous

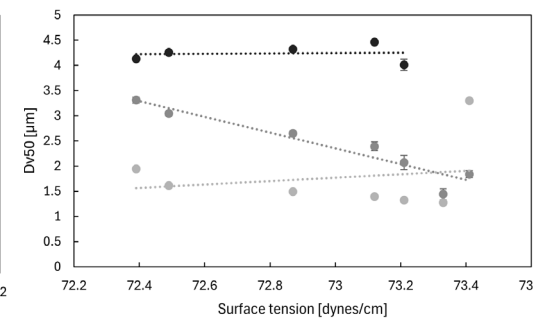
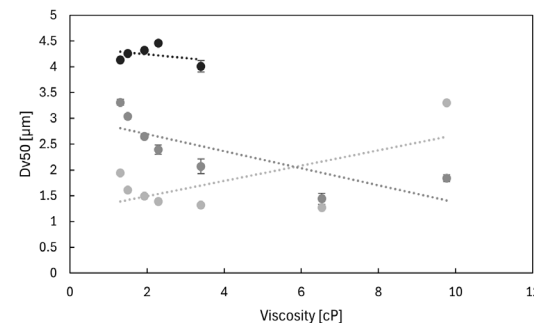
### 3 Liquid Dosage Forms

#### Formulation considerations

## Meeting spray characteristics and aerodynamic performance targets

Governed by the combined effect of device atomizing system with formulation viscosity and surface tension.

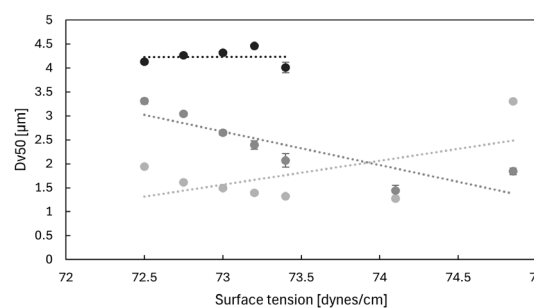
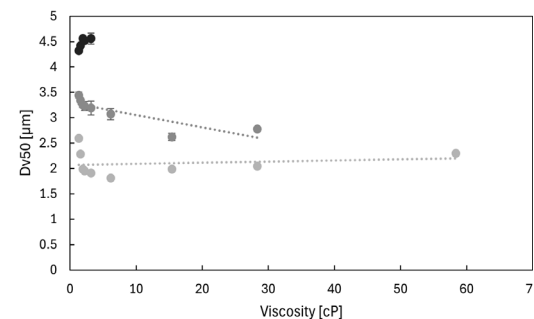
Sodium citrate solutions  
7-36% (w/v)



### Nebulizers

- Pari LC
- Medix A II
- Medix Electronic Nebulisers

Sucrose solutions  
10-60% (w/v)

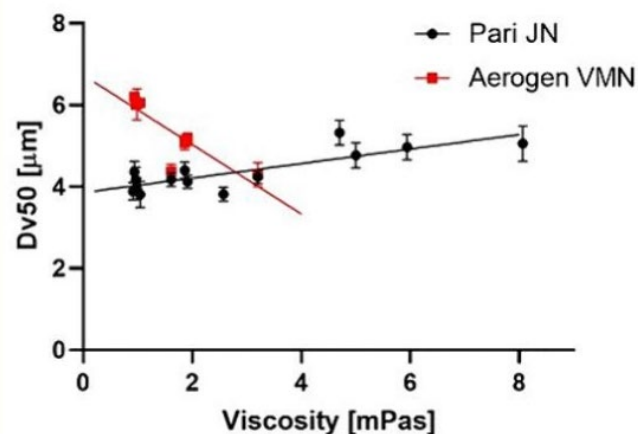


Source: M C Callion ONM and Patel M Jet al., International Journal of Pharmaceutics 1996, 130,124390; doi: <https://doi.org/10.1016/j.ijpharm.2024.124390>

## Meeting spray characteristics and aerodynamic performance targets

Governed by the combined effect of device atomizing system with formulation viscosity and surface tension.

### Antisense oligonucleotides solutions in nebulizers



Source: Seidl LL. et al., *International Journal of Pharmaceutics* 2024, 661, 124390;  
doi: <https://doi.org/10.1016/j.ijpharm.2024.124390>

### Small RNA (sRNA) solutions in the soft mist inhaler Softhaler®

sRNA [mg/ml]	50	50	75	75
Benzalkonium chloride [mg/ml]		0.1		0.1
Dv10 (μm)	2.2	2.2	3.1	2.1
Dv50 (μm)	6.0	5.9	8.0	5.6
Dv90 (μm)	13.3	13.9	17.0	16.4
Span	1.9	2.0	1.8	2.6

Source: Lopes IS, Fernandes DA, Rawert J *Journal of Aerosol Medicine and Pulmonary Drug Delivery via DDLconference* 2024 (submitted )

### Surfactants, ethanol

Decrease formulation surface tension, allowing for smaller droplets to be formed.

### 3 Liquid Dosage Forms

#### Formulation considerations

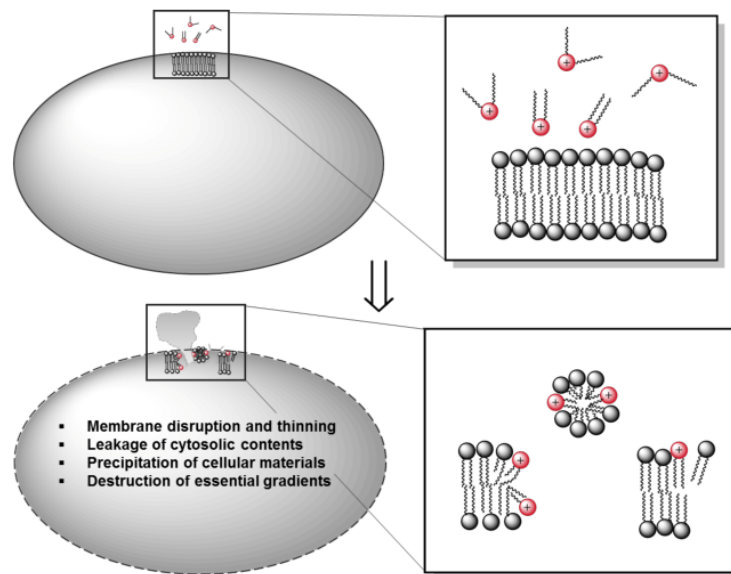
## Preserving formulation sterility

### Preservatives

- Added to multidose formulations to prevent microbial growth.
- Antimicrobial activity typically dependent on pH.
- Prevalence of Quaternary ammonium (QAC) and Phenolic (PC) compounds.

Preservatives	Previously approved for
Benzalkonium chloride	IM, Pulmonary, Nasal
Cetylpyridinium chloride	Pulmonary
Metacresol	ID, IM, IV, SC
Phenol	ID, IM, IV, SC

ID – Intradermal; IM – Intramuscular; IV – Intravenous; SC – Subcutaneous



Source: Jennings MC. et al., ACS infectious diseases 2015, 17;doi:  
<https://pubs.acs.org/doi/10.1021/acsinfecdis.5b00047>

QAC and PC antimicrobial activity maximized according to their lateral chain length.

Previously reported hypersensitive reactions – Use discouraged by current relevant guidances.

Preservative efficacy test studies to fine-tune amount in formulation.

### 3 Liquid Dosage Forms

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### Self preserving/low water activity agents, as an alternative

- Reduce the free water in the formulation that otherwise would be available for microbial growth.

Self-preserving agents	Previously approved for
Ethanol	IM, Pulmonary, Nasal
EDTA	IM, IV, SC, Pulmonary, Nasal
Propylene glycol	IM, IV, SC, Pulmonary, Nasal
Glycerin	ID, IM, IV, SC, Pulmonary, Nasal
Glycerol	IM, IV, SC
Sorbitol	IM, IV, SC, Nasal
Xylitol	-

ID – Intradermal; IM – Intramuscular; IV – Intravenous; SC – Subcutaneous



# Solid Dosage Forms

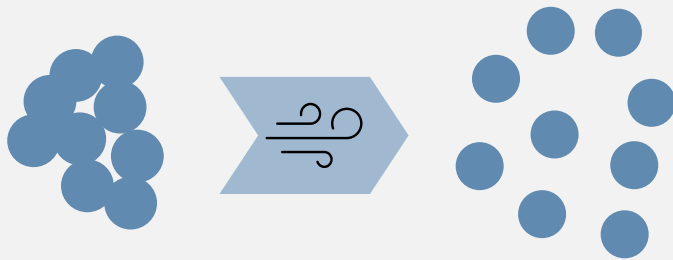


# Designing an effective dry powder particle

100% active would be nice, but rarely works

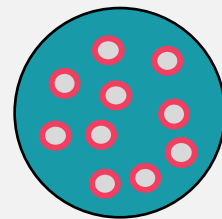
## 1 Respirable & Dispersible

- Particle engineering only works if dry powders can be redispersed to their primary size on emission from inhaler
- Small particles are cohesive!
- Usually, a dispersibility-enhancing excipient is needed to achieve this, leucine and tri - leucine are most common, and often compatible with bio APIs

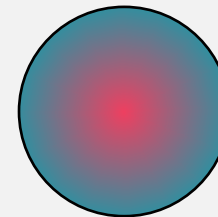


## 2 API stability

- Maintain activity of the API: different metrics for different API classes
  - Primary structure intact
  - Protein unfolding and aggregation
  - mRNA encapsulation
- Most APIs need some help from stabilizers, surfactants, buffers, etc.
- Have had some success with un-stabilized ASOs, achieving high active loading



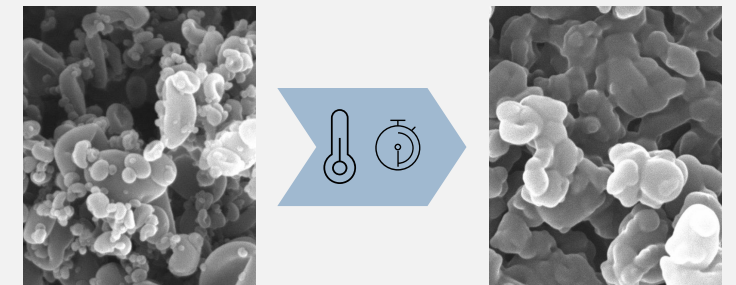
Nano-in-micro



Amorphous dispersion

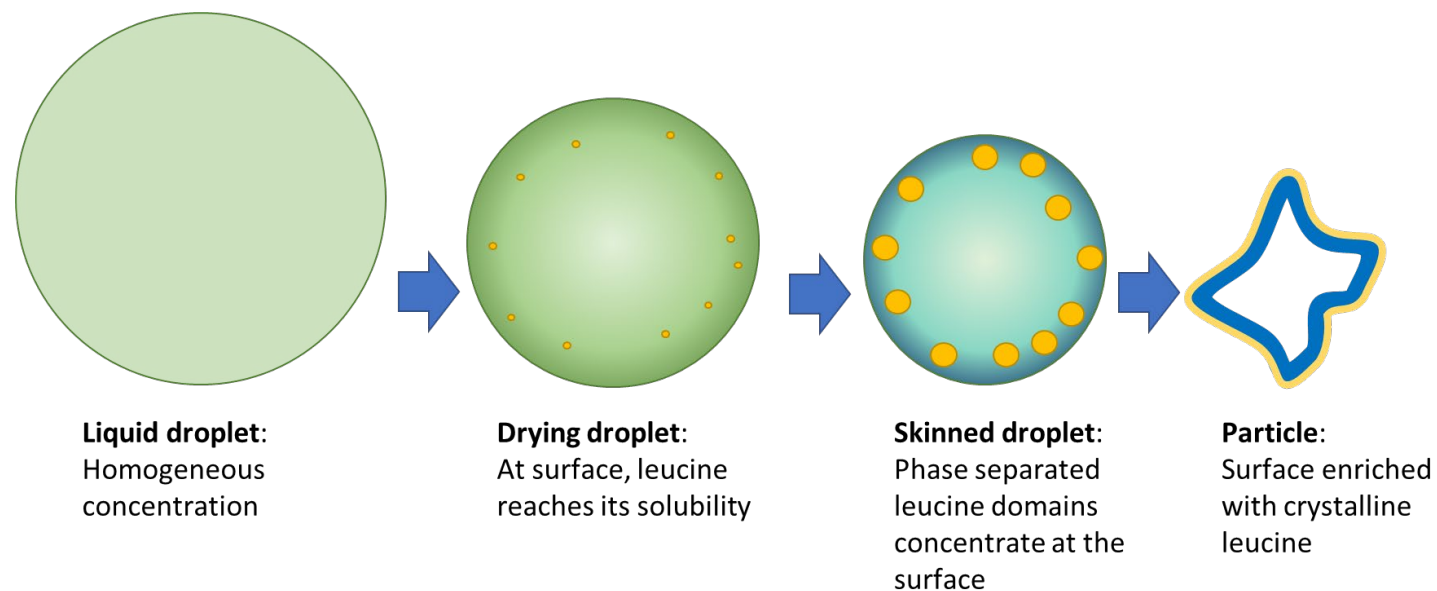
## 3 Physical stability

- Solid form of the particle must not change over time, whether amorphous or crystalline
- Target storage conditions at ambient temperature
- Low water content is often important



- > In previous discussion, amino acids are employed as stabilizers for protein and other liquid formulation
- > Leucine is one of those, but is used differently in dry powder formulations
- > Used as a dispersibility-enhancer for dry powders to improve aerosol properties and solid-state stability
- > Hypotheses that increased particle roughness and reduced hygroscopicity contribute
- > Leucine must be at the particle's surface, not in contact with API
- > Tri-leucine also of interest, works by different mechanism

## Overview of leucine surface enrichment



Investigated extensively by: Vehring, Lechuga-Ballesteros, Boraey, Ordoubadi, Alhaji, Feng, Mangal and others!

## Mechanisms of instability during drying

- > Denaturation/unfolding: unfolded state becomes more thermodynamically stable than folded
  - Protein/peptide secondary and tertiary structure
  - RNA/DNA secondary structure
- > Aggregation: intermolecular interaction
  - Noncovalent via hydrophobic interaction
  - Covalent via thiol and/or disulfide linkages in proteins
- > De-amidation
- > Oxidation
- > Maillard reaction (proteins)
- > Aggregation of encapsulated materials

Chang and Pikal J Pharm Sci 2009  
Lechuga-Ballesteros, Miller, Duddu 2005

## Stabilization mechanisms for drying

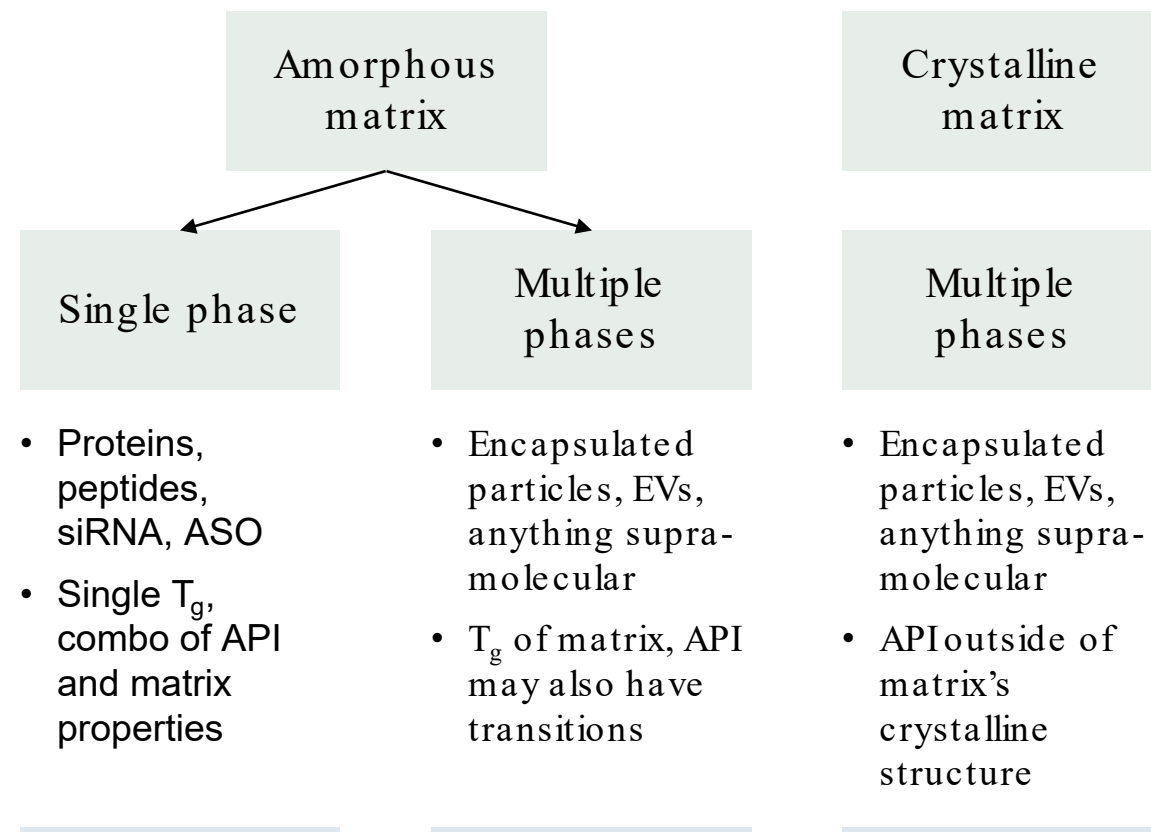
- > Water replacement theory
  - Hydrogen bonding with water shifts thermodynamics in favor of native (folded) state
  - As water leaves, sugar/sugar alcohol hydrogen bonding replaces this need
- > Glass stabilization
  - Diluting the molecule in a high  $T_g$  matrix physically locks in API structure
  - $T_g$  needs to be far (preferably  $> 50^\circ\text{C}$ ) higher than storage conditions to prevent gradual rearrangement
  - Sufficient dilution of encapsulated API systems also helpful for nanoparticle reconstitution

# What do we mean by stability here?

## Two sides to solid state stability

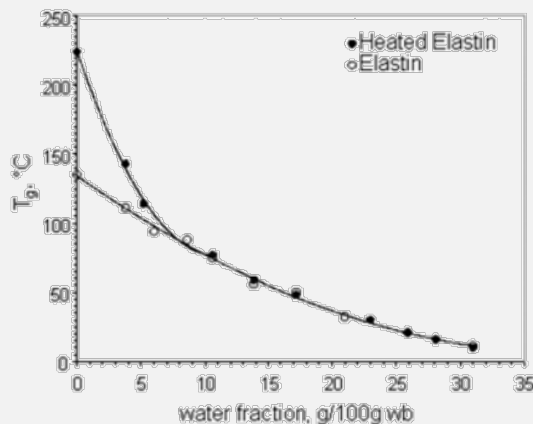
- Stabilization of the API structure and activity via excipient matrix
  - In liquid formulations, API and excipients mix freely
  - In solid formulations, they are not necessarily in intimate molecular contact
- Also, stabilizing the solid form of the dry powder. Relevant solid form questions include
  - Is the matrix amorphous or crystalline?
  - Is the formulation one phase or multiple?
  - Is there any polymorphism of crystalline components?
  - For amorphous components, is the  $T_g$  far enough above storage conditions?
  - How does water content/humidity impact all this?

## Solid form possibilities



## $T_g$ dependence on water content

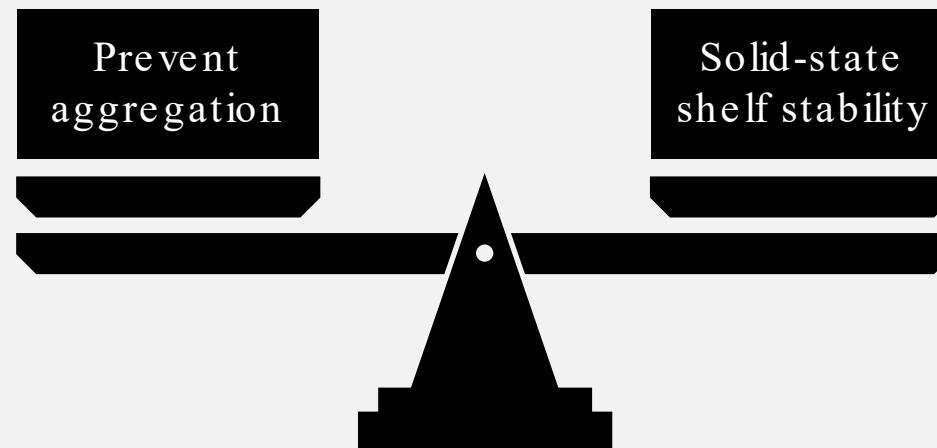
- > Glass transition temperature decreases with increasing water (or other solvent) content
- > Water content is critical to success of dry powder formulations



Lechuga-Ballesteros, Miller, Duddu 2005

## Surfactants can also be plasticizers

- > Surfactants typically have very low glass transition temperature
- > Increasing presence of surfactants often leads to lower physical stability of the dry powder



# Favorite matrix formers for dry powder bios

A biased list



## Trehalose

- High dry  $T_g$  reduces molecular mobility of solid state, “locks in” a non-aggregated structure
- Stable at low humidity in the amorphous form
- Can form a single dispersion phase with API
- Non-reducing sugar
- Strong hydrogen bonding for water replacement
- Not **yet** in FDA inactive ingredients list for pulmonary or nasal delivery
- Extensively preceded in clinical trials for pulmonary delivery

## Mannitol

- Precedented in the lung
- Usually crystalline after drying process, but has multiple polymorphs
- Can be amorphous when mixed with other carriers, but has low  $T_g$
- Most useful when a phase-separated morphology is desired

## ...and the rest

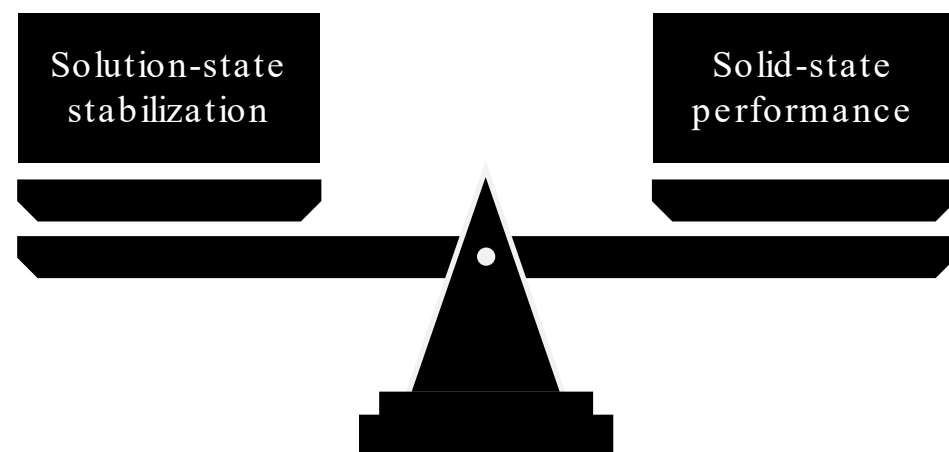
- Inulin, naturally-occurring high- $T_g$  polysaccharide
- Sucrose, investigated but generally not preferred due to low  $T_g$
- Cyclodextrins (beta, HP-beta in particular) have been studied, still need work for lung compatibility
- Sorbitol has a lower  $T_g$ , but has been successfully used to stabilize IgG spray dried powders (Maury et al)
- Lactose is approved for lung use, but appears less valuable as a stabilizer than other sugars



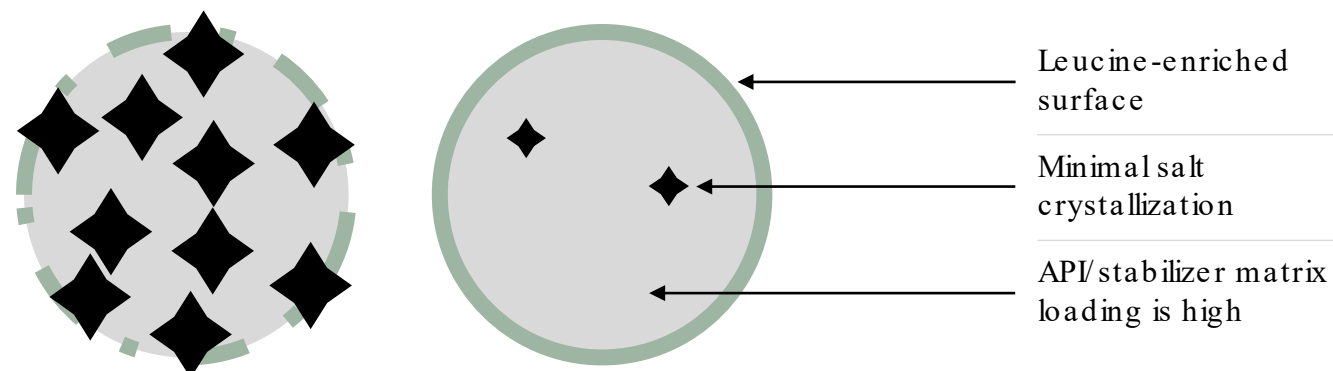
➤ Buffer salts are important for stabilizing many pH-sensitive APIs

➤ Once in solid form, buffer salts can get in the way if concentrations are high:

- Hygroscopic material
- Can compete with leucine for surface
- Phase separated from API



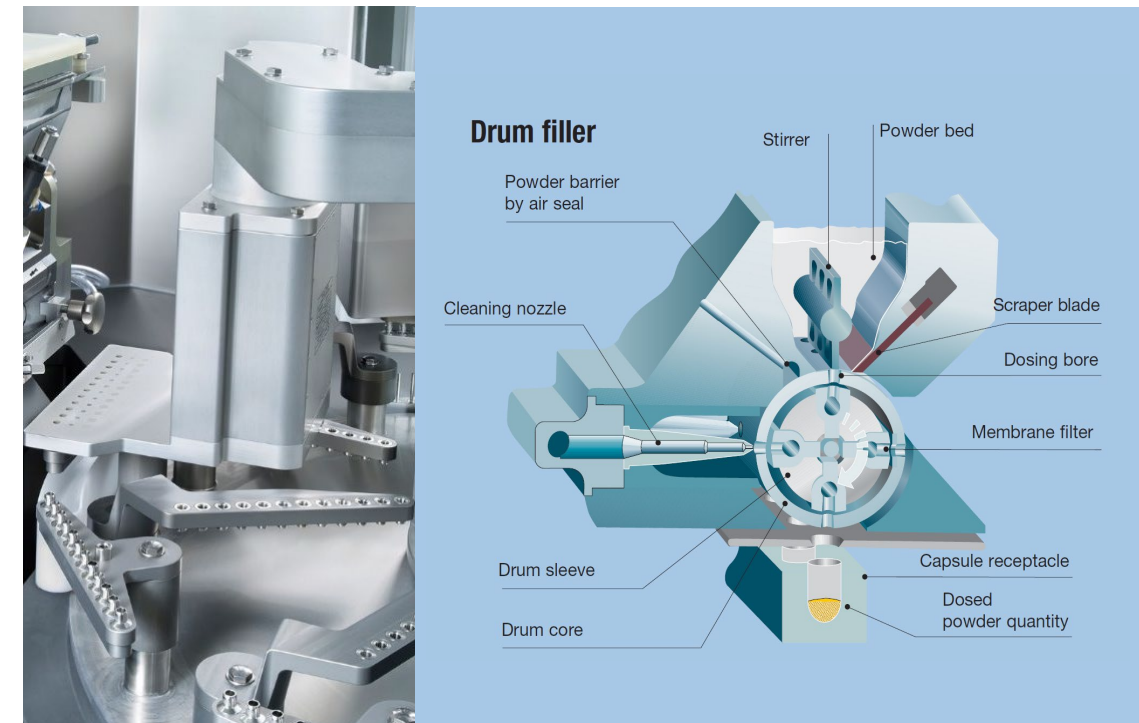
Component	IV formulation	Spray solution	Powder composition
mAb	30 mg/mL	4 mg/mL	40% wt
Trehalose	60 mg/mL	4 mg/mL	40% wt
Leucine	0	2 mg/mL	20% wt
Surfactant	0.04% wt	~0	~0
Buffer	50mM	1mM	~1% wt



Shepard et al, AAPS PharmSciTech 2021

## Dry powders still need to be filled into their device (capsule, reservoir or blister)

- Spray dried pulmonary powders tend to have poor to extremely poor flow properties
- Drum filling helps, but every formulation is different
- Formulation-related variables which impact downstream operations:
  - Hygroscopicity of excipients
  - Particle size (nasal vs. pulmonary)
  - Surface characteristics of particles
  - Particle shape and rugosity



Example drum filler for capsules, Harro Höfliger

# Outlook



### Excipient Toolbox is still Growing

- Increasing complexity of molecules / mixtures
  - Risk of not using optimal excipients if limited to currently-approved excipients
  - Will likely require exploring novel excipients
- Novel Excipients and Approval Requirements (next slide)

### Other Gaps and Challenges

- Ambiguous excipient categories (overlapping) may cause confusion
- Interaction of excipient and API resulting in decreased effect of excipient, or complexing of API resulting in decreased efficacy.
- Stabilization-centric excipients; excipients for improving drug delivery, absorption, targeting.
  - Carriers, targeting agents, muco-adhesives (ex. Chitosan, gellan gum, PEG, PVA. currently PEG3350 is approved)

### Opportunity? Consortium of Respiratory Developers for Excipients of Interest ?

#### CDER Conversation: Novel Excipient Review Pilot Program

[f Share](#) [X Post](#) [in LinkedIn](#) [Email](#) [Print](#)

FDA's Center for Drug Evaluation and Research's (CDER) Office of New Drugs (OND) recently launched a pilot program on Novel Excipient Review. The pilot program offers a new pathway for drug manufacturers to obtain FDA review of certain novel excipients (inactive ingredients) before the excipients are used in drug formulations.

On December 5, 2019, a [Request for Information \(RFI\)](#) was posted in the Federal Register to gather input from industry to evaluate interest in developing a pilot program and to identify potential challenges. FDA considered this public feedback in developing this pilot.

## 5 Gaps, Challenges, Outlook

### Requirements for Novel Excipients

**Any excipient that is not fully supported by existing safety data with respect to the currently proposed level of exposure, duration of exposure, or route of administration.**

- > Proper planning during early development is important to prevent delays in product approval.
- > FDA and EMA outline requirements for novel excipient approval.
- > FDA Novel Excipient evaluation outlined in *"Section IV. Recommended strategies to support marketing of new excipients in drug products"* including:
  - A** Safety Pharmacology (ICH Guidance S7A)
  - B** Intended for Short-Term Use (14 day treatment window)
    - Acute Toxicology and Nonclinical Safety Studies, 2 species (1 rodent and 1 non-rodent) (CDER Guidance Single *Dose Acute Toxicity Testing for Pharmaceuticals*, ICH Guidance M3)
    - Toxicology and Pharmacokinetics (ICH Guidelines S3A and S3B)
    - Genotoxicity (ICH Guidance S2B)
    - 1-month repeat-dose toxicology studies - 2 species
    - Reproductive toxicology (ICH Guidelines S5A and S5B)
  - C** Intended for Intermediate Use (14 day – 3 months)
    - IV. A and B + 3-month repeat-dose toxicology studies (2 species)
  - D** Long-Term Use (> 3 months)
    - IV. A, B, and C + 6 month repeat-dose toxicology study (rodent)
    - 6 month or up to 9-12 month chronic (non-rodent)
    - Carcinogenic potential (ICH Guidance S1A and S2B)
  - E** For Intranasal and Pulmonary Products
    - IV. A, B, C, or D + Sensitization (Immunotox.) (CDER Guidance *Immunotoxicology Evaluation of Investigational New Drugs*)
    - If systemic exposure observed, additional toxicology studies by oral or parenteral routes
  - F** Photosafety (CDER Guidance *Photosafety Testing*)

### Guidance for Industry Nonclinical Studies for the Safety Evaluation of Pharmaceutical Excipients



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COMMITTEE FOR MEDICINAL PRODUCTS FOR HUMAN USE  
(CHMP)

GUIDELINE ON EXCIPIENTS IN THE DOSSIER FOR APPLICATION  
FOR MARKETING AUTHORISATION OF A MEDICINAL PRODUCT



Thank you!

Q&A





# Addendum Slides



# Appendix: Buffering Agents and Salts

## Excipients for Protein and Nucleic Acid Therapeutics



*pH and salt concentration are critical for controlling folding state and minimize physical and chemical degradation.  
The most commonly utilized buffer is sodium phosphate with some level of sodium or potassium chloride.*

Category	Present	Absent
Buffering Agent	<u>ACETIC ACID</u> , ANHYDROUS CITRIC ACID, CALCIUM CARBONATE, CITRIC ACID MONOHYDRATE, GLYCINE, <u>MONOBASIC POTASSIUM PHOSPHATE</u> , SODIUM BICARBONATE, SODIUM PHOSPHATE, SODIUM PHOSPHATE, DIBASIC (ANHYDROUS, DIHYDRATE, DODECAHYDRATE, HEPTAHYDRATE), <u>TROMETHAMINE</u>	<u>SODIUM ACETATE</u> , SODIUM SUCCINATE, ARGININE, ALANINE, ASPARTIC ACID, GLUTAMIC ACID, LYSINE, HISTIDINE
pH-Adjusting Agent	<u>ACETIC ACID</u> , ANHYDROUS CITRIC ACID, <u>ANHYDROUS TRISODIUM CITRATE</u> , ASCORBIC ACID, CITRIC ACID MONOHYDRATE, HYDROCHLORIC ACID, NITRIC ACID, SODIUM BICARBONATE, <u>SODIUM HYDROXIDE</u> , SULFURIC ACID	<u>PHOSPHORIC ACID</u>
Tonicity Agent	ANHYDROUS DEXTROSE, DEXTROSE, DEXTROSE MONOHYDRATE, GLYCERIN, MANNITOL, <u>POTASSIUM CHLORIDE</u> , <u>SODIUM CHLORIDE</u>	

\*Underlined entries represent overlap between protein and NA therapeutic excipients.



*Most commonly used surfactants included polysorbate 80, polysorbate 20, and poloxamer 188. These agents are used not only to stabilize against interfacial tension but also to reduce aggregation or protein-protein interactions.*

Category	Present	Absent
Surfactant	BENZALKONIUM CHLORIDE, CETYLPYRIDINIUM CHLORIDE, POLYSORBATE 20, POLYSORBATE 80, PROPYLENE GLYCOL, SODIUM LAURYL SULFATE	<u>POLOXAMER 188</u> , TRIPALMITIN, PALMITIC ACID, COLFOSCERIL PALMITATE, <u>DISMYRISOTYL GLYCEROL</u>

\*Underlined entries represent overlap between protein and NA therapeutic excipients.

*Commonly used lyoprotectants and stabilizers are sugars - sucrose, mannitol, and trehalose. They act to maintain molecular structure in both the liquid and solid state affecting physical stability.*

Category	Present	Absent
Lyoprotectant / Bulking Agent	GLYCINE, LACTOSE, MANNITOL	<u>SUCROSE</u> , TREHALOSE
Stabilizer	BUTYLATED HYDROXYTOLUENE, CELLULOSE MICROCRYSTALLINE/CARBOXYMETHYLCELLULOSE SODIUM, EDETATE DISODIUM, FERRIC OXIDE, GLYCINE, HYDROXYETHYL CELLULOSE (2000 MPa.s AT 1%), HYPROMELLOSE, MANNITOL, POLYETHYLENE GLYCOL 3350, POLYETHYLENE GLYCOL 400, POLYSORBATE 20, POLYSORBATE 80, SILICON DIOXIDE, SODIUM METABISULFITE, <u>SORBITOL</u> , TITANIUM DIOXIDE	ALBUMIN, ARGININE, ALANINE, ASPARTIC ACID, GLUTAMIC ACID, LYSINE, HISTIDINE

\*Underlined entries represent overlap between protein and NA therapeutic excipients.



Category	Present	Absent
Antimicrobial Agent	ALCOHOL, BENZALKONIUM CHLORIDE, BUTYLATED HYDROXYANISOLE, <u>CALCIUM CHLORIDE</u> , CETYLPIRIDINIUM CHLORIDE, CHLOROBUTANOL, GLYCERIN, METHYLPARABEN, PHENYLETHYL ALCOHOL, PHENYLMERCURIC ACETATE, PHENYLMERCURIC NITRATE, POTASSIUM SORBATE, PROPYLENE GLYCOL, PROPYLPARABEN, SODIUM BISULFITE, SODIUM METABISULFITE, THIMEROSAL, THYMOL, ZINC OXIDE	METACRESOL
Solubilizing Agent	BENZALKONIUM CHLORIDE, CETYLPIRIDINIUM CHLORIDE, GLYCINE, HYPROMELLOSE 2906 (4 MPa.S), HYPROMELLOSE 2910 (4000 MPa.S), HYPROMELLOSE 2910 (5 MPa.S), LANOLIN, POLYSORBATE 20, POLYSORBATE 80, PROPYLENE GLYCOL, SODIUM LAURYL SULFATE, SORBITAN MONOLAURATE, SORBITAN TRIOLEATE	ISOPROPYLALCOHOL, MYONOSITAL
Antioxidant	ASCORBIC ACID, BUTYLATED HYDROXYANISOLE, BUTYLATED HYDROXYTOLUENE, CITRIC ACID MONOHYDRATE, SODIUM BISULFITE, SODIUM METABISULFITE, THYMOL	METHIONINE
Complexing Agent	EDETATE DISODIUM,	<u>MAGNESUM CHLORIDE</u>
Diluent for Solid Dosage Form	CASTOR OIL, MAGNESIUM STEARATE, POLYETHYLENE GLYCOL 3350, POLYETHYLENE GLYCOL 400	
Dispersing Agent	CELLULOSE MICROCRYSTALLINE/ CARBOXYMETHYLCELLULOSE SODIUM, HYPROMELLOSE	
Lipids and Liposome Forming Agents		(6Z,9Z,28Z,31Z)-heptatriaconta-6,9,28,31-tetraen-19-yl-4-(dimethylamino)butanoate (DLin-MC3-DMA), 1,2-distearoyl-sn-glycero-3-phosphocholine (DSPC), α-(30-{[12-di(myristyloxy)propanoxy]carbonylamino}propyl)-ω-methoxy-polyoxyethylene (PEG 2000 C-DMG), 2[(polyethylene glycol)-2000]-N,N'-ditetradecylacetamide, (4-hydroxybutyl)azanediy]-bis(hexane-6,1-diyl)bis(2-hexyldecanoate), SM-102, Cholesterol

\*Underlined entries represent overlap between protein and NA therapeutic excipients.